Appendix 3.1 Birth Defects Descriptions for NBDPN Core, Recommended, and Extended Conditions

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Note: As of January 2014, the following conditions were dropped from the NBDPN list:

- Amniotic bands
- Aniridia
- Congenital hip dislocation
- Epispadias
- Fetus or newborn affected by maternal alcohol use
- Hirschsprung disease (congenital megacolon)
- Hydrocephalus without spina bifida
- Microcephalus
- Patent ductus arteriosus
- Pyloric stenosis

The following conditions were added:

- Clubfoot
- Cloacal exstrophy
- Congenital posterior urethral valves
- Craniosynostosis
- Deletion 22q11.2
- Double outlet right ventricle (DORV)
- Holoprosencephaly
- Interrupted aortic arch (IAA)
- Single ventricle
- Small intestine atresia/stenosis
- Turner syndrome

The following conditions were **merged**:

 Reduction deformity, lower limbs; reduction deformity, upper limbs. Merged to limb deficiencies (reduction defects).

The following conditions were **separated**:

• Cleft lip with and without cleft palate separated to cleft lip with cleft palate; cleft lip alone (without cleft palate).

List of Birth Defects

Birth Defects	ICD-9-CM Codes (CDC/BPA Codes ICD	ICD-10-CM Codes	Standard Level*		
Birtii Delects	ICD-9-CIVI Codes	CDC/BPA Codes	ICD-10-CIVI Codes	Core	Recommended	Extended
CNS						
<u>Anencephalus</u>	740.0 – 740.1	740.00 – 740.10	Q00.0 - Q00.1	Core		
Spina bifida without anencephalus	741.0, 741.9 w/o 740.0 - 740.10	741.00 – 741.99 w/o 740.0 – 740.10	Q05.0 - Q05.9, Q07.01, Q07.03 w/o Q00.0 - Q00.1	Core		
<u>Encephalocele</u>	742.0	742.00 – 742.09	Q01.0 - Q01.9		Recommended	
Holoprosencephaly	742.2	742.26	Q04.2			Extended
Eye						
Anophthalmia / microphthalmia	743.0, 743.1	743.00 – 743.10	Q11.0 – Q11.2		Recommended	
Congenital cataract	743.30 – 743.34	743.32	Q12.0			Extended
Ear						
Anotia/microtia	744.01, 744.23	744.01, 744.21	Q16.0, Q17.2		Recommended	
Cardiovascular						
Aortic valve stenosis	746.3	746.3	Q23.0		Recommended	
Atrial septal defect	745.5	745.51 – 745.59	Q21.1		Recommended	
Atrioventricular septal defect (Endocardial cushion defect)	745.60, .61, .69	745.60 – 745.69	Q21.2	Core		
Coarctation of the aorta ¹	747.10	747.10 – 747.19	Q25.1		Recommended	

Birth Defects	ICD-9-CM Codes	CDC/BPA Codes	ICD-10-CM Codes		Standard Level*	
Common truncus (truncus arteriosus or TA) ¹	745.0	745.00 only (excluding 745.01)	Q20.0	Core		
<u>Double outlet right</u> <u>ventricle (DORV)</u> ¹	745.11	745.13 – 745.15	Q20.1		Recommended	
Ebstein anomaly ¹	746.2	746.20	Q22.5		Recommended	
Hypoplastic left heart syndrome ¹	746.7	746.7	Q23.4	Core		
Interrupted aortic arch (IAA) ¹	747.11	747.215 - 747.217, 747.285	Prior to 10/1/2016: Q25.2, Q25.4 Post 10/1/2016: Q25.21		Recommended	
Pulmonary valve atresia and stenosis ¹	746.01 (pulmonary valve atresia), 746.02 (pulmonary valve stenosis) Note: for CCHD, 746.01 only (pulmonary atresia, intact ventricular septum)	746.00 (pulmonary valve atresia), 746.01 (pulmonary valve stenosis) Note: for CCHD, 746.00 only (pulmonary atresia, intact ventricular septum)	Q22.0, Q22.1 (Note: for CCHD, Q22.0 only (pulmonary atresia, intact ventricular septum))		Recommended	
Single Ventricle ¹	745.3	745.3	Q20.4		Recommended	
Tetralogy of Fallot (TOF) ¹	745.2	745.20 – 745.21, 747.31 Note: code 746.84 has been removed)	Q21.3	Core		

Birth Defects	ICD-9-CM Codes	CDC/BPA Codes	ICD-10-CM Codes		Standard Level*
<u>Total anomalous</u>	747.41	747.42	Q26.2	Core	
<u>pulmonary venous</u>					
connection (TAPVC) ¹					
<u>Transposition of the great</u>	745.10, 745.12,	745.10 – 745.12,	Q20.3, Q20.5 (Note:	Core	
arteries (TGA) ¹	745.19 (Note: for	745.18 – 745.19	for CCHD, Q20.3		
	CCHD, 745.10	(Note: for CCHD,	only)		
	only (d-TGA only))	745.10 (TGA			
		complete, no			
		VSD), 745.11 (TGA			
		incomplete, with			
		VSD), 749.18			
		(other specified			
		TGA), 745.19			
# 10 1 1 1 1 1	746.42	(unspecified TGA)	022.42		2
Tricuspid valve atresia and	746.1 ²	746.100 ¹	Q22.4 ²		Recommended
<u>stenosis</u> ²		(tricuspid atresia),			
		746.106 (tricuspid stenosis) (excl.			
		746.105 –			
		tricuspid			
		insufficiency)			
		Note: for CCHD,			
		746.100 only.			
		Only tricuspid			
		atresia is a CCHD.			
		Many cases of			
		tricuspid stenosis			
		are not critical.			
Ventricular septal defect	745.4	745.40 – 745.49	Q21.0		Recommended
		(excl. 745.487,			
		745.498)			
Orofacial					

Birth Defects	ICD-9-CM Codes	CDC/BPA Codes	ICD-10-CM Codes		Standard Leve	 *
Choanal atresia	748.0	748.0	Q30.0		Recommended	
Cleft lip with cleft palate	749.2	749.20 – 749.29	Q37.0 – Q37.9	Core		
Cleft lip alone (without	749.1	749.10-749.19	Q36.0 – Q36.9	Core		
<u>cleft palate)</u>						
Cleft palate alone (without	749.0	749.00 – 749.09	Q35.1 – Q35.9	Core		
<u>cleft lip)</u>						
Gastrointestinal						
Biliary atresia	751.61	751.65	Q44.2 - Q44.3			Extended
Esophageal	750.3	750.30 – 750.35	Q39.0 – Q39.4		Recommended	
atresia/tracheoesophageal						
<u>fistula</u>						
Rectal and large intestinal	751.2	751.20 – 751.24	Q42.0 – Q42.9		Recommended	
atresia/stenosis						
<u>Small intestinal</u>	751.1	751.10-751.19	Q41.0 – Q41.9		Recommended	
<u>atresia/stenosis</u>						
Genitourinary						
Bladder exstrophy	753.5	753.5	Q64.10, Q64.19		Recommended	
Cloacal exstrophy	751.5	751.555	Q64.12		Recommended	
Congenital Posterior	753.6	753.60	Q64.2		Recommended	
<u>Urethral Valves</u>						
<u>Hypospadias</u>	752.61	752.60 – 752.62	Q54.0 – Q54.9		Recommended	
		(excluding 752.61	(excluding Q54.4)			
		and 752.621)				
Renal agenesis/hypoplasia	753.0	753.00 – 753.01	Q60.0 – Q60.6		Recommended	
Musculoskeletal						
Clubfoot	754.51, 754.70	754.50, 754.73	Q66.0, Q66.89		Recommended	
Craniosynostosis	No specific code	756.00-756.03	Q75.0			Extended
<u>Diaphragmatic hernia</u>	756.6	756.61	Q79.0, Q79.1		Recommended	

Birth Defects	ICD-9-CM Codes	CDC/BPA Codes	ICD-10-CM Codes		Standard Leve	 *
Gastroschisis	756.73 (as of 10/1/09; previously a shared code 756.79 with omphalocele)	756.71	Q79.3	Core		
Limb deficiencies (reduction defects)	755.2 – 755.4	755.20 – 755.49	Q71.0 – Q71.9, Q72.0 – Q72.9, Q73.0 – Q73.8	Core		
<u>Omphalocele</u>	756.72 (as of 10/1/09; previously a shared code 756.79 with gastroschisis)	756.70	Q79.2		Recommended	
Chromosomal						
Deletion 22 q11	758.32	758.37	Q93.81			Extended
Trisomy 13	758.1	758.10 – 758.19	Q91.4 – Q91.7		Recommended	
Trisomy 18	758.2	758.20 – 758.29	Q91.0 – Q91.3		Recommended	
Trisomy 21 (Down syndrome)	758.0	758.00 – 758.09	Q90.0 – Q90.9	Core		
<u>Turner syndrome</u>	758.6	758.60-758.69	Q96.0 – Q96.9			Extended

¹Critical Congenital Heart Defect (CCHD)

²Tricuspid valve atresia is a Critical Congenital Heart Defect (CCHD) target; however, many cases of tricuspid valve stenosis are not critical. The ICD-9-CM and ICD-10-CM codes do not distinguish between these conditions. Therefore, estimates of CCHD prevalence using these codes will likely be somewhat inflated.

Detailed Descriptions of Birth Defects

Format for Birth Defect Descriptions

	Defect Name
Description	Description of the defect.
	Standard level (SL): Each condition is listed as core (SL 1), recommended (SL 2) or extended (SL 3). In order to meet the standard level specified, a program needs to ascertain that condition.
Inclusions	Other names or conditions that should be included in the code for the defect.
Exclusions	Other names or conditions that should not be included in the code for the defect.
ICD-9-CM Codes	Applicable ICD-9-CM codes for the defect.
ICD-10-CM Codes	Applicable ICD-10-CM codes for the defect.
CDC/BPA Codes	Applicable CDC/BPA codes for the defect.
Diagnostic Methods	Postnatal procedures by which the defect may be accurately and reliably diagnosed.
Prenatal Diagnoses Not Confirmed Postnatally	Guidance on whether cases with only a prenatal diagnosis should be included in the defect code.
Additional Information	Tips and useful information about the defect.

Central Nervous System

Anencephaly

(Core Condition)

Description Partial or complete absence of the brain and skull.



Inclusions Acrania – Absence of skull bones with some brain tissue present.

Absent brain, with or without skull bones present.

Anencephaly Anencephaly

Craniorachischisis – Anencephaly continuous with an open posterior spinal

defect with no meninges covering the neural tissue.

Exencephaly

Exclusions Encephalocele

Iniencephaly

Rachischisis – When used alone, this term refers only to the spinal defect

and should be coded as spina bifida without anencephaly.

ICD-9-CM Codes 740.0 – 740.1

ICD-10-CM Codes 000.0 - 000.1

CDC/BPA Codes 740.00 – 740.10

Diagnostic Methods An encephaly is easily recognized on physical examination at delivery.

Prenatal Diagnoses Not Confirmed Postnatally Anencephaly may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an

individual case in the surveillance data.

Additional Information:

Anencephaly is one of a group of defects that result from failure of the neural tube to close.

Maternal serum alphafetoprotein (MSAFP) and/or amniotic fluid alphafetoprotein (AFAFP) and amniotic fluid acetylcholinesterase (ACHE) may be elevated with anencephaly. However, these screening tests alone are not sufficient to diagnose the condition.

In cases where both anencephaly and spina bifida are present but are not continuous (i.e., not craniorachischisis), both anencephaly and spina bifida should be coded.

Encephalocele

(Recommended Condition)

Description Herniation of brain tissue and/or meninges through

a defect in the skull. The hernia sac is usually

covered by skin.



Inclusions Cephalocele

Cranial meningocele – Herniation of meninges only.

Encephalocele

Encephalomyelocele - Herniation through a defect in a portion of both the

skull and the upper spine.

Encephalocystomeningocele

Hydranencephalocele Meningoencephalocele

Ventriculocele

Exclusions NA

ICD-9-CM Codes 742.0

ICD-10-CM Codes Q01.0 – Q01.9

CDC/BPA Codes 742.00 – 742.09

Diagnostic Methods Most cases of encephalocele are recognizable on physical examination after

delivery. However, they may be conclusively diagnosed only through direct visualization of the brain by cranial ultrasound, CT or MRI scan, surgery, or autopsy. This is particularly true for internal herniations through the

sphenoid, maxillary, or ethmoid bones, the orbit, or pharynx.

Prenatal Diagnoses Not Confirmed Postnatally Encephalocele may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. In addition, the absence of a small encephalocele on prenatal ultrasound does not necessarily mean that it will

not be diagnosed after delivery.

Additional Information:

Encephaloceles are often included as one of a group of defects that result from failure of the neural tube to close. Maternal serum alphafetoprotein (MSAFP) and/or amniotic fluid alphafetoprotein (AFAFP) and amniotic fluid acetylcholinesterase (ACHE) may be elevated with encephaloceles. However, these screening tests alone are not sufficient to diagnose the condition. Occipital encephalocele is a component of Meckel-Gruber syndrome.

Holoprosencephaly

(Extended Condition)

Description Structural brain anomaly that results from variable degrees of incomplete

cleavage of the prosencephalon (embryonic forebrain), which fails to cleave sagittally into the right and left cerebral hemispheres and transversely into

telencephalon and diencephalon.

Inclusions Alobar holoprosencephaly, semilobar holoprosencephaly, lobar

holoprosencephaly, middle interhemispheric variant (MIHV), holotelencephaly, cyclopia, cebocephaly, ethmocephaly.

Exclusions Approximately, atelencephaly, hydranencephaly, porencephaly,

arhinencephaly without holoprosencephaly

ICD-9-CM Codes 742.2

ICD-10-CM Codes Q04.2

CDC/BPA Codes 742.26

Diagnostic Methods Confirmation of a diagnosis of holoprosence phaly is by CT, MRI, or

autopsy.

Prenatal Diagnoses Not Confirmed Postnatally Holoprosencephaly may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. For example, clear diagnoses of cyclopia, ethmocephaly, or cebocephaly are virtually always associated with holoprosencephaly, but prenatal diagnoses of lobar holoprosencephaly and middle interhemispheric variants are more problematic without postnatal imaging or autopsy confirmation.

Additional Information:

Holoprosencephaly, especially the alobar type, is commonly associated with facial anomalies that range from hypotelorism and median cleft lip (premaxillary agenesis) to cyclopia, a rare abnormality characterized by a single central eye in the low frontal area and a missing nose or a proboscis (a tubular-shaped nose) located above the eye. Other similarly uncommon facial anomalies include ethmocephaly, in which a proboscis is found close to the root of the nose, and cebocephaly, characterized by a small nose with a single nostril situated below underdeveloped eyes.

Spina Bifida without Anencephaly

(Core Condition)

Description

Incomplete closure of the vertebral spine (usually posteriorly) through which spinal cord tissue and/or the membranes covering the spine (meninges) herniate.



Inclusions Lipomeningocele

Lipomyelomeningocele

Meningocele – Herniation of meninges only.

Meningomyelocele, Myelomeningocele – Herniation of meninges and

spinal cord tissue Myelocystocele Myelodysplasia Myeloschisis Open spina bifida

Rachischisis - Open spina bifida without meninges covering the spinal cord

tissue

Spina bifida aperta Spina bifida cystica

Exclusions Diastematomyelia

Diplomyelia Hydromyelia

Spina bifida with coexisting anencephaly – Code only as anencephaly

Spina bifida occulta Syringomyelia Tethered spinal cord

ICD-9-CM Codes 741.0 or 741.9 without 740.0 – 740.1

ICD-10-CM Codes Q05.0 - Q05.9 or Q07.01 or Q07.03 without Q00.0 - Q00.1

CDC/BPA Codes 741.00 – 741.99 without 740.00 – 740.10

Diagnostic Methods The majority of defects result in a direct opening on the infant's back that is

easily recognized on physical examination at delivery. However, the exact nature of the defect (meningocele vs. myelomeningocele) may only be

distinguished by CT or MRI scan, at surgery, or at autopsy.

Prenatal Diagnoses Not Confirmed Postnatally Spina bifida may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. In addition, the absence of spina bifida on prenatal ultrasound does not necessarily mean that it will not be

diagnosed after delivery.

Additional Information:

Spina bifida is one of a group of defects that result from failure of the neural tube to close.

Open lesions (spina bifida cystica, spina bifida aperta) are those with no covering or with only meninges covering the neural tissue. They usually leak cerebrospinal fluid. Closed lesions are covered by normal skin.

Hydrocephalus and Arnold-Chiari malformation of the brain frequently, though not always, result from spina bifida. When present, there is no need to code them separately from the spina bifida.

Maternal serum alphafetoprotein (MSAFP) and/or amniotic fluid alphafetoprotein (AFAFP) and amniotic fluid acetylcholinesterase (ACHE) may be elevated in spina bifida. However, these screening tests alone are not sufficient to diagnose the condition.

In cases where both anencephaly and spina bifida are present but are not continuous (i.e., not craniorachischisis), both anencephaly and spina bifida should be coded.

If the defect coding system includes unique codes for different levels of spina bifida (cervical; thoracic; lumbar; sacral) and a defect involves more than one level (cervicothoracic; thoracolumbar; lumbosacral), the highest level at which it occurs should be coded (i.e., cervical; thoracic; lumbar). The highest level of involvement determines the degree of associated neurologic impairment.

Eve

Anophthalmia/Microphthalmia

(Recommended Condition)

Description Anophthalmia – Total absence of eye tissue or apparent absence of the

globe in an otherwise normal orbit.

Microphthalmia – Reduced volume of the eye. The corneal diameter is usually less than 10 millimeters, or the anteroposterior globe diameter is

less than 20 millimeters.

Inclusions Anophthalmia

Microphthalmia

Nanophthalmia – Microphthalmia with normal internal eye (intraocular)

structures. This is a distinct genetic condition.

Exclusions Small eyes or small palpebral fissures for which the diagnosis of

microphthalmia or anophthalmia has not been made.

Microcornea with otherwise normal eye size.

ICD-9-CM Codes 743.0, 743.1

ICD-10-CM Codes Q11.0 – Q11.2

CDC/BPA Codes 743.00 – 743.10

Diagnostic Methods These conditions are usually recognized on physical examination after

delivery, especially by an ophthalmologist. However, the anteroposterior diameter of the globe may be measured only by ultrasound, CT or MRI

scan, or at autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While these conditions may be identified by prenatal ultrasound, they should not be included in surveillance data without postnatal confirmation. In addition, the absence of anophthalmia or microphthalmia on prenatal ultrasound does not necessarily mean that it will not be diagnosed after

delivery.

Additional Information:

Microphthalmia may occur in association with colobomas (gaps) in the uvea, iris, choroid and/or optic nerve (colobomatous microphthalmia).

Anophthalmia and microphthalmia often are accompanied by malformations of the brain and face, and frequently are components of genetic syndromes.

Congenital Cataract

(Extended Condition)

Description An opacity of the lens of the eye that has its origin prenatally.

Inclusions Anterior polar cataract

Cataract, type not specified

Infantile cataract Lamellar cataract Nuclear cataract

Posterior lentiglobus/lenticonus cataract

Posterior cortical cataract

Sectoral cataract Zonular cataract

Exclusions Any of the above types of cataract that has its origin after birth

Corneal opacities

ICD-9-CM Codes 743.30 – 743.34

ICD-10-CM Codes Q12.0

CDC/BPA Codes 743.32

Diagnostic Methods Some cataracts are readily apparent on physical examination. Others are

visible with an ophthalmoscope. However, they may be conclusively

diagnosed only through examination by an ophthalmologist.

Prenatal Diagnoses Not

Confirmed Postnatally

While this condition may be identified by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition, the absence of a cataract on prenatal ultrasound does not necessarily mean

that it will not be diagnosed after delivery.

Additional Information:

Cataracts may be congenital, acquired, or inherited. They may involve all or only part of the lens of either or both eyes. They may be an isolated finding in an otherwise normal eye, or may be part of a more general eye malformation. They may be seen with metabolic disorders, such as galactosemia; genetic syndromes, such as chondrodysplasia punctata; chromosomal abnormalities, such as Trisomy 21; intrauterine infection, such as congenital rubella; or trauma.

In some instances, the severity of the cataract progresses over time. The need for surgical treatment depends on the degree of visual impairment.

When congenital cataract occurs with microphthalmia in the same infant, both conditions should be coded.

Ear

Anotia/Microtia

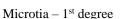
(Recommended Condition)

Description

Anotia – Total absence of the external ear and canal.

Microtia – Malformation or hypoplasia of the external ear (auricle, pinna).







Microtia – 2nd degree



Microtia – 3rd degree



Anotia

Inclusions

Anotia Microtia

Exclusions

Small ears that retain most of the overall structure of the normal auricle, including lop or cup ear defects. In these, the auditory meatus is usually patent and defects of the ossicular chain of the middle ear are infrequent. However, these defects are sometimes designated as Type I Microtia.

Isolated absence, atresia, stenosis or malformation of the ear canal with a normal external ear.

Congenital absence of the ear not diagnosed as anotia or microtia.

ICD-9-CM Codes 744.01, 744.23

ICD-10-CM Codes Q16.0, Q17.2

CDC/BPA Codes 744.01, 744.21

Diagnostic Methods Anotia and microtia are usually easily recognized on physical examination

> after delivery. However, abnormalities of the middle and inner ear may be conclusively diagnosed only by CT or MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

While these conditions may be identified by prenatal ultrasound, they should not be included in surveillance data without postnatal confirmation. In addition, the absence of anotia or microtia on prenatal ultrasound does not necessarily mean that they will not be diagnosed after delivery.

Additional Information:

Appendix 3.1

The spectrum of severity of microtia may range from a measurably small external ear with minimal structural abnormality to major structural alteration of the external ear with an absent or blind-ending canal. Following is the classification system of Meurman (modified from Marks):

Type I B – Generally small ears that retain most of the overall structure of the normal auricle. These

should not be coded as microtia.

Type II B-A moderately severe anomaly with a longitudinal mass of cartilage with some resemblance to a pinna. The rudimentary auricle may be hook-shaped, have an S-shape, or the appearance of a question mark.

Type III B – The ear is a rudiment of soft tissue and the auricle has no resemblance to a normal pinna.

Type IV B – Complete absence of all external ear structures (anotia).

Abnormalities that may be associated with anotia/microtia include anomalies of the middle and/or inner ear, the mandible and face, and hearing loss.

Anotia/microtia may be a component of Goldenhar and other syndromes.

Cardiovascular

Aortic Valve Stenosis

(Recommended Condition)

Description Obstruction or narrowing of the aortic valve, which may impair blood flow

from the left ventricle to the aorta.

Inclusions Stenosis of the aortic valve

Exclusions Stenosis of the aorta without mention of the aortic valve.

Supra-valvular or sub-valvular aortic stenosis.

ICD-9-CM Codes 746.3

ICD-10-CM Codes Q23.0

CDC/BPA Codes 746.30

Diagnostic Methods While aortic valve stenosis may be suspected by clinical presentation, it

may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or

autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While this condition may be identified by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition,

the absence of aortic valve stenosis on prenatal ultrasound does not

necessarily mean that it will not be diagnosed after delivery.

Additional Information NA

Atrial Septal Defect (ASD)

(Recommended Condition)

Description

An opening in the wall (septum) that separates the left and right top chambers (atria) of the heart.



Inclusions Atrial septal defect (ASD), type not specified (NOS)

ASD other specified (OS) – which includes sinus venosus type

ASD secundum type (ASD 2 or ASD II)

ASD vs. PFO – In the first days of life, it may not be possible to distinguish whether the opening in the atrial septum is a true ASD or a patent foramen ovale that has not yet closed (see below). ASD vs. PFO should be included only if the exact nature of the condition was never resolved.

Exclusions Atrioventricular septal defects (AVSD)

ASD primum type (1° ASD) – This is included under atrioventricular septal

defects (see below).

Patent foramen ovale (PFO) – A PFO is normal *in utero* to allow blood to flow properly during fetal circulation. This usually closes shortly after birth, but frequently does not close until 24 to 48 hours after birth.

ICD-9-CM Codes 745.5

ICD-10-CM Codes 021.1

CDC/BPA Codes 745.51 – 745.59

Diagnostic Methods Some isolated ASDs may be diagnosed based on physical examination

and/or EKG without direct imaging of the heart. However, many ASDs may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or

autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While ASDs may be identified by prenatal ultrasound, they may close spontaneously before delivery. For this reason, ASDs that are diagnosed prenatally should not be included unless they have been confirmed postnatally. In addition, the absence of an ASD on prenatal ultrasound does not necessarily mean that an ASD will not be diagnosed after delivery, as it is not always possible to accurately visualize the entire atrial septum by prenatal ultrasound.

Additional Information:

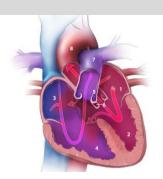
Types of ASDs are denoted by location on the septum and when they formed in utero. Secundum ASDs are usually located toward the middle of the atrial septum. Some close spontaneously without treatment. Primum ASDs are located in the lower portion of the atrial septum near the atrioventricular valves, are etiologically related to atrioventricular septal defects, and never close spontaneously.

Atrioventricular Septal Defect (Atrioventricular Canal Defect; Endocardial Cushion Defect)

(Core Condition)

Description

A defect in both the lower portion of the atrial septum and the upper portion of the ventricular septum. In extreme cases, virtually the entire atrial and ventricular septae may be missing. The valves controlling blood flow from the atria to the ventricles, the tricuspid and mitral valves may also be abnormal. They may not form from the endocardial cushions during cardiac development into two separate valves, and thus be a single common atrioventricular valve.



Together, these defects producing a large opening (canal) in the central part of the heart.

Inclusions

Atrioventricular septal defect (AVSD)

Common or complete atrioventricular (AV) canal

Endocardial cushion defect

Primum type atrial septal defect (1° ASD) – A defect only in the lower portion of the atrial septum. While this does not involve a defect in the upper portion of the ventricular septum, it is etiologically related to the more complete form of AVSD. A cleft mitral valve is often present with a primum type ASD (see partial AVC).

Common atrium – Near absence of the atrial septum.

Partial AV canal (partial endocardial cushion defect) – Refers to a primum ASD with cleft mitral valve.

Inflow-type, subtricuspid, or canal-type ventricular septal defect (VSDAVC) – A defect in the upper (inflow) portion of the ventricular septum. While this does not also involve a defect in the lower portion of the atrial septum, it is etiologically related to the more complete form.

Exclusions

Secundum ASDs that coexist with a VSD. In this instance, both the ASD and the VSD should be coded.

ICD-9-CM Codes

745.60, 745.61, 745.69

ICD-10-CM Codes

O21.2

CDC/BPA Codes

745.60 - 745.69, 745.487

Diagnostic Methods

While atrioventricular septal defects may be suspected by clinical presentation, examination, and EKG changes, it may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

These conditions may be included as cases when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal

diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data, as it may be difficult to distinguish this condition from other abnormalities of the cardiac septae prenatally. Live-born children who survive should always have confirmation of the defect postnatally.

Additional Information:

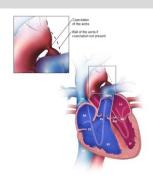
Atrioventricular septal defects are known to be associated with Down syndrome. Approximately 40% of children with Down syndrome have some type of CHD, 20% have an atrioventricular septal defect. Conversely, approximately 70% of children with an atrioventricular septal defect have Down syndrome.

Coarctation of the Aorta

(Recommended Condition)

Description

Narrowing of the descending aorta, which may obstruct blood flow from the heart to the rest of the body. The most common site of coarctation occurs distal to the origin of the left subclavian artery in the region of the ductus arteriosus. If there is complete loss of communication in this location, it is a form of interruption of the aorta (Type A).



Inclusions Coarctation of the aorta, type not specified

Preductal, juxtaductal, and postductal coarctations – These terms refer to the exact placement of the segment of coarctation relative to the insertion of

the ductus arteriosus.

Exclusions NA

ICD-9-CM Codes 747.10

ICD-10-CM Codes O25.1

CDC/BPA Codes 747.10 – 747.19

Diagnostic Methods While coarctation of the aorta may be suspected by clinical presentation

and examination, it may be conclusively diagnosed only through direct

visualization of the heart by cardiac echo (echocardiography),

catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While this condition may be identified by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition, the absence of coarctation of the aorta on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

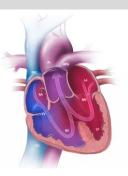
Left-sided obstructive lesions of the heart, such as coarctation, have been associated with Turner syndrome (karyotype 45,X and other variants).

Common Truncus (Truncus Arteriosus or TA)

(Core Condition)

Description Failure of separation of the aorta and the

pulmonary artery during development, resulting in a single common arterial trunk carrying blood from the heart to both the body and lungs.



Inclusions Common truncus

Truncus arteriosus (TA) Persistent truncus arteriosus

Exclusions Aorto-pulmonary window. In ICD-9-CM, this related defect is not

distinguished from truncus. An AP window is a hole (aka "window") between a separate aorta and pulmonary artery. This is distinct from

truncus, when neither vessel forms separately.

ICD-9-CM Codes 745.0

ICD-10-CM Codes Q20.0

CDC/BPA Codes 745.00 only (excluding 745.01, aortic septal defect which including aorto-

pulmonary window)

Diagnostic MethodsTruncus arteriosus is conclusively diagnosed only through direct

visualization of the heart by cardiac imaging (typically echocardiography but also MRI), catheterization, surgery, or autopsy. A clinical diagnosis is

considered insufficient to make the diagnosis.

Prenatal Diagnoses Not Confirmed Postnatally These conditions may be included as cases when only diagnosed prenatally by a pediatric cardiologist through fetal echocardiography. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally.

Additional Information:

A ventricular septal defect is often present in association with truncus defects and should be coded separately. Truncus arteriosus is one of several abnormalities of the outflow tract of the heart known as conotruncal defects. Some infants (1 in 5 to 1 in 3) with these defects have a deletion on the short arm of chromosome 22 (deletion 22q11.2). This deletion may not necessarily be detected on a routine karyotype analysis and is more reliably diagnosed by fluorescent *in situ* hybridization (FISH) or microarray technology.

Double Outlet Right Ventricle (DORV)

(Recommended Condition)

Description Both the pulmonary artery and the aorta arise from the right ventricle,

usually accompanied by a ventricular septal defect (VSD). DORV subtypes are usually distinguished by the great artery anatomic relationship: DORV with normally related great arteries and DORV with "transposed" or malposed or side-by-side great arteries. Actually, the arteries are not truly "transposed", which refers to the aorta arising from the right ventricle and pulmonary artery from the left ventricle, since in DORV both great arteries

arise from the right ventricle.

Inclusions Double outlet right ventricle (DORV) with normally related great vessels

DORV with transposed great vessels

DORV with unknown relationship of great vessels

Taussig-Bing syndrome -

If a case has separate codes for DORV and TGA, include case in the

DORV category only and not in the TGA category.

Exclusions NA

ICD-9-CM Codes 745.11

ICD-10-CM Codes Q20.1

CDC/BPA Codes 745.13 -745.15

Diagnostic Methods DORV is conclusively diagnosed through direct visualization of the heart

by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally These conditions may be included as cases when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally.

Additional Information:

Distinction of types of DORV is often done on the basis of the anatomic relationship of the great arteries/vessels, which can be malposed, side-by-side, normal or undetermined. However, the coding systems are somewhat confusing in representing these anatomic distinctions. In ICD-9-CM, the single code for DORV is contained under the broader category of Transposition of Great Arteries (TGA). Actually, the arteries are not truly "transposed", which refers to the aorta arising from the right ventricle and pulmonary artery from the left ventricle, since in DORV both great arteries arise from the right ventricle, regardless of how they are related positionally. In ICD-10-CM, there also is no distinction for great artery relationship, but the single code for DORV is no longer a subtype under TGA. In the latest version of modified CDC/BPA codes there are separate DORV codes depended on knowledge of the great artery relationship.

Previously, for surveillance guidelines, all DORV was included in the TGA category, following the coding system structure. However, now there is a new separate category for all types of DORV.

Ebstein Anomaly

(Recommended Condition)

Description Abnormal formation and downward displacement of the tricuspid valve

into the right ventricle. The tricuspid valve is usually hypoplastic and regurgitant. As a result, the right atrium is enlarged and the right ventricle is small. There may also be associated pulmonary stenosis as the abnormal tricuspid valve tissue obstructs blood flow out of the pulmonary valve.

Inclusions Ebstein's anomaly

Ebstein malformation

Exclusions NA

ICD-9-CM Codes 746.2

ICD-10-CM Codes Q22.5

CDC/BPA Codes 746.20

Diagnostic Methods While Ebstein's anomaly may be suspected by clinical presentation, it may

be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While this condition may be identified by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition,

the absence of Ebstein's anomaly on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

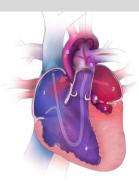
Ebstein's anomaly has been associated with lithium exposure during gestation. However, the magnitude of this association is probably very small.

Hypoplastic Left Heart Syndrome (HLHS)

(Core Condition)

Description

A condition in which the structures on the left side of the heart and the aorta are extremely small, insufficient to support systemic circulation and with normally related great arteries. Classically, this condition includes hypoplasia of the left ventricle, atresia or severe hypoplasia of both the mitral and aortic valves, hypoplasia of the aortic arch, and coarctation of the aorta.



Inclusions Any diagnosis of hypoplastic left heart syndrome, regardless of whether all

conditions in the classical definition are present.

Exclusions Hypoplasia or diminished size of the left ventricle alone without

involvement of other structures on the left side of the heart or the aorta.

Hypoplastic left heart or small left ventricle that occurs as part of another

complex heart defect, such as atrioventricular septal defect.

ICD-9-CM Codes 746.7

ICD-10-CM Codes Q23.4

CDC/BPA Codes 746.70

Diagnostic Methods While hypoplastic left heart may be suspected by clinical presentation,

examination, and EKG changes, it may be conclusively diagnosed only

through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

These conditions may be included as cases when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to

diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data, as it may be difficult to distinguish this condition from other abnormalities of the left ventricle

prenatally. Live-born children who survive should always have confirmation of the defect postnatally before being included.

Additional Information NA

Interrupted Aortic Arch (IAA)

(Recommended Condition)

Description

Complete loss of communication (interruption) between the ascending and descending aorta, usually associated with a malalignment-type ventricular septal defect (VSD). Types of IAA are defined by where the interruption occurs along the arch from the conotruncus to the descending aorta. Type A involves the distal descending aorta distal to the left subclavian artery in the same region as coarctation of the aorta, and is considered an extreme version of that obstructive defect. Type B interruption occurs between the left carotid and subclavian, and is considered a conotruncal heart defect; it is the more common form of interrupted aortic arch.

Inclusions IAA types A, B or C, or all IAA if type unknown or not otherwise specified

(NOS).

Exclusions NA

ICD-9-CM Codes 747.11

ICD-10-CM Codes Q25.21

Note: This code was added with the FY2017 ICD-10-CM code list. In the FY2016 ICD-10-CM list there was no unique code. IAA could be included

under Q25.2 or Q25.4 prior to 10/1/2016.

CDC/BPA Codes 747.215 - 747.217, 747.285

Diagnostic Methods IAA is conclusively diagnosed through direct visualization of the heart by

cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally These conditions may be included as cases when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally.

Additional Information NA

Pulmonary Valve Atresia and Stenosis

(Recommended Condition)

Description Pulmonary valve atresia – Lack of patency, or failure of formation

altogether, of the pulmonary valve, resulting in obstruction of blood flow

from the right ventricle to the pulmonary artery.

Pulmonary valve stenosis – Obstruction or narrowing of the pulmonary valve, which may impair blood flow from the right ventricle to the

pulmonary artery.

Inclusions Pulmonary valve atresia with intact ventricular septum

Pulmonary valve stenosis (PS) (most cases of PS)

Pulmonic stenosis (PS)

Exclusions Atresia or stenosis of the main or branch (right or left) pulmonary arteries,

not involving the pulmonary valve.

Pulmonary stenosis that occurs as part of Tetralogy or Pentalogy of Fallot.

Supra-valvular or sub-valvular pulmonic stenosis.

ICD-9-CM Codes For CCHD Screening 746.01 (pulmonary valve atresia), 746.02 (pulmonary valve stenosis)

746.01 only (pulmonary atresia, intact ventricular septum)

ICD-10-CM Codes For CCHD Screening Q22.0, Q22.1

Q22.0 only (pulmonary atresia, intact ventricular septum)

CDC/BPA Codes For CCHD Screening 746.00 (pulmonary valve atresia), 746.01 (pulmonary valve stenosis)

746.00 only (pulmonary atresia, intact ventricular septum)

Diagnostic Methods While pulmonary valve atresia or stenosis may be suspected by clinical

presentation, it may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography),

catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While these conditions may be identified by prenatal ultrasound, they should not be included in surveillance data without postnatal confirmation. In addition, the absence of pulmonary valve atresia or stenosis on prenatal ultrasound does not necessarily mean that it will not be diagnosed after

delivery.

Additional Information:

These defects have important physiological and coding differences among systems as seen here in the Table, which is also discussed in the Tetralogy of Fallot section.

CCHD	ICD-9	CDC/BPA
PVS	746.02	746.01
PA, IVS	746.01	746.00
PA, VSD (TOF)		747.31
TOF	745.2	745.20 - 21

Pulmonary valve atresia or stenosis may occur with or without a coexisting ventricular septal defect. For pulmonary valve atresia without a VSD (intact ventricular septum), the CDC/BPA code 746.00 ("atresia, hypoplasia of pulmonary valve") is used, corresponding to the ICD-9-CM code 746.01. In CDC/BPA,

746.01 refers to pulmonary valve stenosis.

Pulmonary atresia with a VSD is similar to severe forms of Tetralogy of Fallot, and is included in Tetralogy of Fallot for surveillance (see below). There is no good code depicting *valvular* pulmonary atresia with VSD; hence in CDC/BPA the code 747.31 ("pulmonary *artery* atresia with septal defect") is used.

Single Ventricle

(Recommended Condition)

Description Instead of two separate ventricles, there is only one morphological

ventricle, most commonly a double-inlet left ventricle. This is always a

complex heart with several associated heart defects.

Inclusions Single ventricle or common ventricle WITHOUT more specific diagnosis

related to hypoplastic ventricle or atrioventricular valve (e.g. Hypoplastic left heart syndrome or tricuspid atresia). Forms include double-inlet left ventricle (most common), double inlet right ventricle, single ventricle indeterminent morphology, and other specified type of single ventricle.

Exclusions "Functional" single ventricles, which have 2 ventricles, one of which is

very small, so the heart functions as a single ventricle; these are usually due to atresia of one of the atrioventricular valves. Single/common ventricle WITH more specific diagnosis related to hypoplastic ventricle or atrioventricular valves (e.g. hypoplastic left heart syndrome or tricuspid

atresia) are excluded from this category but included elsewhere:

Hypoplastic Left Heart Syndrome (single right ventricle)

• Tricuspid Atresia (single left ventricle)

• Complete atrioventricular canal with malalignment of the AV valves to either the right or left side (creating a single ventricle)

• Some severe forms of DORV (single right ventricle)

ICD-9-CM Codes 745.3

ICD-10-CM Codes Q20.4

CDC/BPA Codes 745.3

Diagnostic Methods Single ventricle is conclusively diagnosed through direct visualization of

the heart by cardiac echo (echocardiography), catheterization, surgery, or

autopsy.

Prenatal Diagnoses NotConfirmed Postnatally

These conditions may be included as cases when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal

However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data or which category to include the case in. Live-born children who survive should always have

confirmation of the defect postnatally.

Additional Information

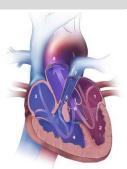
These are very difficult hearts to code and categorize, as they often have many different descriptions. Forms include double-inlet left ventricle (most common), double inlet right ventricle, single ventricle indeterminent morphology, and other specified type of single ventricle. Other associated heart defects may include transposed/malposed great vessels, pulmonary stenosis, coarctation of aorta, and rudimentary outlet chambers (the tiny second ventricle).

Tetralogy of Fallot (TOF)

(Core Condition)

The simultaneous presence of a ventricular septal **Description**

defect (VSD), pulmonic and subpulmonic stenosis, a malpositioned aorta that overrides the ventricular septum, and right ventricular hypertrophy.



Inclusions Pentalogy of Fallot – Tetralogy of Fallot with an associated inter-atrial

communication, either a patent foramen ovale (PFO) or an atrial septal

defect (ASD).

Tetralogy of Fallot (TOF)

Pulmonary atresia with VSD (see 'Additional information')

Exclusions Simultaneous occurrence of a VSD and pulmonary stenosis that has TOF

physiology but has not been diagnosed as Tetralogy of Fallot. Also, some coding systems may also include Trilogy of Fallot, or Fallot's Triad – the simultaneous presence of an atrial septal defect, pulmonic stenosis, and right ventricular hypertrophy. This is not to be included as TOF.

ICD-9-CM Codes 745.2

ICD-10-CM Codes Q21.3

CDC/BPA Codes 745.20 - 745.21, 747.31

(Note: code 746.84 (trilogy of Fallot) has been removed)

Diagnostic Methods While Tetralogy of Fallot may be suspected by clinical presentation, it may

> be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not

These conditions may be included as cases when only diagnosed prenatally. **Confirmed Postnatally** However, if it is possible to ascertain the degree of certainty of the prenatal

> diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who

survive should always have confirmation of the defect postnatally.

Additional Information:

Children with Tetralogy of Fallot may experience episodes of cyanosis or hypoxia that result from shunting of unoxygenated blood across the VSD from the right to the left ventricle. Children who have a coexisting VSD and pulmonary stenosis, but do not have Tetralogy of Fallot, may experience similar episodes. Thus, the occurrence of cyanosis or hypoxia does not necessarily mean a child has been diagnosed with Tetralogy of Fallot.

Tetralogy of Fallot is one of several abnormalities of the outflow tract of the heart known as conotruncal

defects. Some infants (approximately 1 in 7) with these defects have a deletion on the short arm of chromosome 22 (deletion 22q11.2). This deletion is diagnosed using fluorescent *in situ* hybridization (FISH) and will not necessarily be detected on a routine karyotype analysis.

Tetralogy of Fallot is on a spectrum with other defects having important physiological and coding differences among systems as seen here in the table.

CCHD	ICD-9	CDC/BPA
PVS	746.02	746.01
PA, IVS	746.01	746.00
PA, VSD (TOF)		747.31
TOF	745.2	745.20 - 21

Pulmonary atresia with a VSD is similar to severe forms of Tetralogy of Fallot and is included here for surveillance. There is no good code depicting *valvular* pulmonary atresia with VSD; hence in CDC/BPA the code 747.31 ("pulmonary *artery* atresia with septal defect") is used. For pulmonary valvular atresia without a VSD (intact ventricular septum), the code 746.00 ("atresia, hypoplasia of pulmonary valve") is used – see separate section on Pulmonary valve atresia/stenosis.

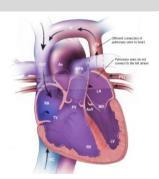
When pulmonary valve atresia occurs with a VSD, the child may experience episodes of cyanosis or hypoxia similar to those seen in children with Tetralogy of Fallot. This results from shunting of unoxygenated blood across the VSD from the right to the left ventricle. Thus, the occurrence of cyanosis or hypoxia does not necessarily mean that the child has Tetralogy of Fallot.

Total Anomalous Pulmonary Venous Connection (TAPVC)

(Core Condition)

Description

A condition in which all 4 pulmonary veins connect anomalously into the systemic venous circulation to the right atrium or the body (systemic veins) instead of the left atrium; often occurs with other cardiac defects.



Inclusions TAPVC (total anomalous pulmonary venous connection)

TAPVR (total anomalous pulmonary venous return) TAPVD (total anomalous pulmonary venous drainage)

Exclusions If not all 4 veins are visibly connecting/draining anomalously (e.g. Partial

Anomalous Venous Return, ICD-9-CM code 747.42 or CDC/BPA code

747.41 or Q26.3)

ICD-9-CM Codes 747.41

ICD-10-CM Codes Q26.2

CDC/BPA Codes 747.42

Diagnostic Methods While TAPVR may be suspected by clinical presentation, it may be

conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy. The difficulty in viewing all 4 veins may mean that several echocardiograms

may be needed to confirm the diagnosis.

Prenatal Diagnoses Not Confirmed Postnatally TAPVR is difficult to identify prenatally. If identified by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition, the absence of TAPVR on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

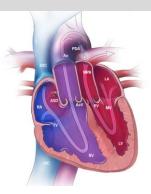
Total anomalous pulmonary venous return and partial anomalous pulmonary venous return have not been shown to be developmentally related, although they share a similar description. Also, there are subtle differences in the meaning of anomalous venous connection, return, and drainage, but the terms are often used interchangeably.

Transposition of the Great Arteries (TGA)

(Core Condition)

Transposition of the aorta and the **Description**

pulmonary artery such that the aorta arises from the right ventricle (instead of the left) and the pulmonary artery arises from the left ventricle (instead of the right).



Inclusions Complete or "dextro" transposition (d-TGA without a VSD)

Corrected, or "levo" transposition (1-TGA) (but exclude for CCHD

screening)

Incomplete transposition (d-TGA with a VSD)

Transposition of the Great Arteries (TGA), not otherwise specified

Transposition of the Great Vessels (TGV)

Exclusions Cases with codes for both DORV and TGA are counted in the DORV

> category. DORV subtype with malposed/"transposed" great arteries (CDC/BPA 745.14 are also counted in the DORV category, along with

745.13, and 745.15.

ICD-9-CM Codes 745.10, 745.12, 745.19 For CCHD Screening 745.10 (d-TGA only)

ICD-10-CM Codes Q20.3, Q20.5 For CCHD Screening Q20.3 only

CDC/BPA Codes 745.10 - 745.12, 745.18 - 745.19

745.10 (TGA complete, no VSD), 745.11 (TGA incomplete, with VSD), For CCHD Screening

745.18 (Other specified TGA), 745.19 (Unspecified TGA)

Diagnostic Methods d-TGA is conclusively diagnosed through direct visualization of the heart

by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not These conditions may be included as cases when only diagnosed prenatally. **Confirmed Postnatally**

However, if it is possible to ascertain the degree of certainty of the prenatal

diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who

survive should always have confirmation of the defect postnatally.

Additional Information:

In order for a child with d-TGA to survive, a communication must be present between the pulmonary and systemic circulations to allow oxygenated blood from the lungs to reach the right ventricle for distribution to the rest of the body through the abnormally placed aorta. In most instances, this communication is through a ventricular septal defect (incomplete TGA). If a VSD is not present, oxygenated blood from the lungs is returned directly to the lungs without being distributed to the rest of the body (complete TGA).

If the defect coding system does not include unique codes to differentiate TGA with and without a VSD (complete vs. incomplete), the VSD should be coded separately when present.

l-TGA (corrected transposition or "levo" transposition) is a defect in which the ventricle on the right side of the heart has the anatomic appearance of the left ventricle, and the ventricle on the left side of the heart has the anatomic appearance of the right ventricle (ventricular inversion). The pulmonary artery arises from the anatomic left ventricle and the aorta arises from the anatomic right ventricle (hence the designation of transposition). Because blood from the ventricle on the right flows through the pulmonary artery, and that from the ventricle on the left flows through the aorta, circulation is normal as long as there are no other defects.

Transposition of the great arteries is one of several abnormalities of the outflow tract of the heart known as conotruncal defects. Very few infants with these defects have a deletion on the short arm of chromosome 22 (deletion 22q11.2). This deletion is diagnosed using fluorescent *in situ* hybridization (FISH) and will not necessarily be detected on a routine karyotype analysis.

Appendix 3.1 Case Definition

Tricuspid Valve Atresia and Stenosis

(Recommended Condition)

Tricuspid valve atresia – Lack of patency, or failure of formation **Description**

altogether, of the tricuspid valve, resulting in obstruction of blood flow

from the right atrium to the right ventricle.

Tricuspid valve stenosis – Obstruction or narrowing of the tricuspid valve, which may impair blood flow from the right atrium to the right ventricle.

Inclusions Tricuspid atresia

Tricuspid stenosis

Exclusions Tricuspid regurgitation without specific mention of tricuspid atresia or

stenosis.

ICD-9-CM Codes 746.1 (Includes both tricuspid valve atresia and stenosis)

ICD-10-CM Codes Q22.4 (Includes both tricuspid valve atresia and stenosis)

CDC/BPA Codes 746.100 (tricuspid atresia), 746.106 (tricuspid stenosis) (excluding 746.105

- tricuspid insufficiency),

746.100 only **For CCHD Screening**

> Note: Only the tricuspid atresia is a CCHD. Many cases of tricuspid stenosis are not critical. The ICD-9-CM and ICD-10-CM codes do not distinguish between these conditions. Therefore, estimates of CCHD prevalence using these codes will likely be somewhat inflated.

Diagnostic Methods While tricuspid valve atresia or stenosis may be suspected by clinical

> presentation, it may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography),

catheterization, surgery, or autopsy.

Prenatal Diagnoses Not

While these conditions may be identified by prenatal ultrasound, they **Confirmed Postnatally** should not be included in surveillance data without postnatal confirmation.

In addition, the absence of tricuspid valve atresia or stenosis on prenatal ultrasound does not necessarily mean that it will not be diagnosed after

delivery.

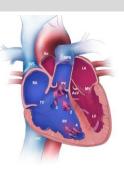
Additional Information NA

Ventricular Septal Defect (VSD)

(Recommended Condition)

Description

An opening in the wall (septum) that separates the left and right ventricles of the heart.



Inclusions Ventricular septal defect

VSD

Exclusions Ventricular septal defects that occur as part of Tetralogy of Fallot or an

atrioventricular septal defect. Inflow-type, subtricuspid, and canal-type VSDs are assumed to be part of an atrioventricular septal defect and should

not be coded separately.

ICD-9-CM Codes 745.4

ICD-10-CM Codes Q21.0

CDC/BPA Codes 745.40 – 745.49 (excluding 745.487 (inlet VSD in AVSD category),

745.498 (possible VSD))

Diagnostic Methods Some isolated VSDs may be diagnosed on physical examination and/or

EKG without direct imaging of the heart. However, many VSDs may be conclusively diagnosed only through direct visualization of the heart by cardiac echo (echocardiography), catheterization, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While VSDs may be identified by prenatal ultrasound, many close spontaneously before delivery. For this reason, VSDs that are diagnosed prenatally should not be included unless they have been confirmed postnatally. In addition, the absence of a VSD on prenatal ultrasound does not necessarily mean that a VSD will not be diagnosed after delivery, as it is not always possible to accurately visualize the entire ventricular septum

by prenatal ultrasound.

Additional Information:

VSDs may be of several types, depending on the location of the opening along the ventricular septum. The most common are: Muscular, Membranous, Perimembranous.

However, in many instances the type of VSD may not be specified in the medical record. Many muscular, membranous and perimembranous VSDs may close spontaneously in the first weeks or months of life without treatment. An aneurysm of the ventricular septum indicates a membranous or perimembranous VSD that is in the process of closing.

Orofacial

Choanal Atresia

(Recommended Condition)

Congenital obstruction of the opening of the nasal cavity into the **Description**

nasopharynx on either side. This prevents communication of the nasal

cavity with the pharynx.

Inclusions Choanal atresia, type not specified

Choanal stenosis

Membranous choanal atresia, with or without a bony rim

Completely bony choanal atresia

Exclusions NA

ICD-9-CM Codes 748.0

ICD-10-CM Codes Q30.0

CDC/BPA Codes 748.00

Diagnostic Methods Bilateral choanal atresia is usually easily recognized at birth from the

clinical presentation of obligate mouth-breathing. Unilateral choanal atresia

may be suspected by clinical examination. Both conditions may be

diagnosed by the inability to pass a feeding tube from the nasal passage(s) into the posterior pharynx. Both conditions may also be seen on CT or MRI

scan, at surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

While these conditions may be identified by prenatal ultrasound, they should not be included in birth defects surveillance data without postnatal confirmation. In addition, the absence of choanal atresia on prenatal ultrasound does not necessarily mean that it will not be diagnosed after

delivery.

Additional Information:

Choanal atresia or stenosis may be unilateral or bilateral. If the defect coding system includes unique codes for these different types, the location should be coded.

Choanal atresia is one of the defects reported as part of the CHARGE association, which may also include colobomas, heart defects, retarded growth and development, genital hypoplasia, and ear anomalies and/or deafness.

Cleft Lip Alone (without Cleft Palate)

(Core Condition)

A defect in the upper lip resulting from incomplete **Description**

fusion of the parts of the lip.



Complete cleft lip – The defect extends through the entire lip into the floor **Inclusions**

of the nose.

Incomplete cleft lip – The defect extends through part of the lip but not into

the floor of the nose.

Cheiloschisis

Exclusions Pseudocleft lip – An abnormal linear thickening, depressed grove, or scar-

like pigmentary change on the skin of the lip without an actual cleft.

Oblique facial clefts

Cleft palate without an associated cleft lip

ICD-9-CM Codes 749.1

ICD-10-CM Codes O36.0 - O36.9

CDC/BPA Codes 749.10-749.19

Diagnostic Methods Cleft lip is usually easily recognized on physical examination after

delivery. It may also be seen on CT or MRI scan, at surgery or autopsy;

plastic surgery consultation reports are often useful.

Prenatal Diagnoses Not Confirmed Postnatally

While this condition may be identified by prenatal ultrasound, it should not

be included in birth defects surveillance data without postnatal

confirmation. In addition, the absence of cleft lip on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

Cleft lip may be unilateral, bilateral, or central in location, or not otherwise specified, as well as incomplete and complete. If the defect coding system includes unique codes for these different types, the location of the cleft should be coded.

Cleft Lip with Cleft Palate

(Core Condition)

A defect in the upper lip resulting from incomplete **Description**

fusion of the parts of the lip, with an opening in the

roof of the mouth.



Inclusions Cleft lip with cleft of the hard and soft palate

> Cleft lip with cleft of the hard palate Cleft lip with cleft of the soft palate

Cleft lip with cleft palate, not otherwise specified

Cheilopalatoschisis

Exclusions Pseudocleft lip with cleft palate – An abnormal linear thickening, depressed

grove, or scar-like pigmentary change on the skin of the lip without an

actual cleft.

Oblique facial clefts with cleft palate Cleft palate without an associated cleft lip Cleft lip without an associated cleft palate

749.20 - 749.25 (only these combined cleft palate with cleft lip codes ICD-9-CM Codes

should be used, not cleft lip or cleft palate codes individually)

Q37.0 – Q37.9 (only these combined cleft palate with cleft lip codes should **ICD-10-CM Codes**

be used, not cleft lip or cleft palate codes individually)

CDC/BPA Codes 749.20 – 749.29 (only these combined cleft lip with cleft palate codes

should be used, not cleft lip or cleft palate codes individually)

Diagnostic Methods Cleft lip is usually easily recognized on physical examination after

delivery. It may also be seen on CT or MRI scan, at surgery or autopsy;

plastic surgery consultation reports are often useful.

Prenatal Diagnoses Not Confirmed Postnatally

While this condition may be identified by prenatal ultrasound, it should not

be included in birth defects surveillance data without postnatal

confirmation. In addition, the absence of cleft lip on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

Cleft lip with cleft palate may be unilateral, bilateral, or central in location, or not otherwise specified. If the defect coding system includes unique codes for these different types, the location of the cleft should be coded

Cleft Palate Alone (without Cleft Lip)

(Core Condition)

Description An opening in the roof of the

mouth resulting from

incomplete fusion of the shelves of the palate. The opening may involve the hard palate only, the soft palate only, or both.





Inclusions Bifid or cleft uvula

Cleft palate, type not specified

Cleft hard palate Cleft soft palate

Submucous cleft palate – A cleft in the soft palate that is covered by the

mucosa or a thin muscle layer.

Exclusions Cleft palate that coexists with a cleft lip. These should be coded as cleft lip

with cleft palate (see above).

ICD-9-CM Codes 749.0

ICD-10-CM Codes Q35.1 – Q35.9

CDC/BPA Codes 749.00 – 749.09

Diagnostic Methods Cleft palate is usually recognized on physical examination by direct

visualization of the pharynx after delivery. It may also be seen on CT or MRI scan, at surgery or autopsy; plastic surgery consultation reports are often useful. However, submucous cleft palate and bifid uvula may be difficult to diagnose by physical examination during the first year of life.

Prenatal Diagnoses Not Confirmed Postnatally This condition should not be included in birth defects surveillance data

without postnatal confirmation.

Additional Information:

Cleft palate may be unilateral, bilateral, or central in location. If the defect coding system includes unique codes for these different types, the location of the cleft should be coded. Cleft palate sometimes may be described as U-shaped or V-shaped. This distinction is not clinically meaningful and these conditions should not be coded differently.

Bifid uvula is often seen in association with a submucous cleft palate. However, bifid uvula also may occur alone. The presence of submucous cleft palate does not necessarily mean that a bifid uvula is present. Cleft palate is one component of the Pierre Robin sequence, which also includes micrognathia and glossoptosis (when the tongue falls backward into the posterior pharynx). When diagnosed, Pierre Robin sequence should be coded separately.

Gastrointestinal

Biliary Atresia

(Extended Condition)

Description Congenital absence of the lumen of the extrahepatic bile ducts.

Inclusions Agenesis, absence, hypoplasia, obstruction or stricture of the bile duct(s)

Exclusions Congenital or neonatal hepatitis

Intrahepatic biliary atresia (absence or paucity of bile ducts within the liver)

not associated with extrahepatic biliary atresia

ICD-9-CM Codes 751.61

ICD-10-CM Codes Q44.2 - Q44.3

CDC/BPA Codes 751.65

Diagnostic MethodsBiliary atresia may be suspected by the clinical presentation and the

presence of elevated direct bilirubin and liver function tests. However, it may be conclusively diagnosed only through direct assessment of the bile ducts by abdominal ultrasound, CT or MRI scan, biliary excretion study

(HIDA scan), surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While biliary atresia may be suspected by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition, the absence of biliary atresia on prenatal ultrasound does not necessarily

mean that it will not be diagnosed after delivery.

Additional Information:

The liver contains within its substance intrahepatic bile ducts and passages that join and coalesce to form two main ducts that carry bile out of the liver.

The extrahepatic bile ducts include the hepatic duct (formed by the two main ducts that carry bile out of the liver), the cystic duct (which carries bile out of the gallbladder where it is stored), and the common bile duct (formed by the junction of the hepatic duct and the cystic duct), which carries bile into the duodenum for excretion.

When extrahepatic biliary atresia is present, the intrahepatic bile ducts may also be abnormal or atretic.

Patients with biliary atresia may have jaundice due to direct hyperbilirubinemia, which is not treated with phototherapy. The more common type of neonatal jaundice due to indirect hyperbilirubinemia may be treated with phototherapy and does not indicate the presence of biliary atresia.

Esophageal Atresia/Tracheoesophageal Fistula

(Recommended Condition)

Description Esophageal atresia – A condition in which the esophagus ends in a blind

pouch and fails to connect with the stomach.

Tracheoesophageal fistula – An abnormal communication between the esophagus and the trachea. This is almost always associated with some

form of esophageal atresia.

Inclusions Esophageal atresia alone

Esophageal atresia with tracheoesohpageal (TE) fistula

Esophageal stenosis, stricture, ring, or web

TE fistula

Tracheoesophageal fistula, all types

Exclusions Tracheal atresia

Tracheoesophageal cleft

ICD-9-CM Codes 750.3

ICD-10-CM Codes Q39.0 – Q39.4

CDC/BPA Codes 750.30 – 750.35

Diagnostic Methods The diagnosis may be suspected by the clinical presentation of

polyhydramnios, vomiting, or respiratory distress. Esophageal atresia may be diagnosed by x-ray documentation of failure of a feeding tube to pass from the pharynx into the stomach. Tracheoesophageal atresia may be conclusively diagnosed only by CT or MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally These conditions may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally before

being included.

Additional Information:

In some instances, TE fistula without esophageal atresia may not be diagnosed until weeks, months, or even a year or more after birth if the communication between the esophagus and stomach remains patent.

TE fistula is one of the defects reported as part of the VATER, or VACTERL, association, which may also include vertebral and cardiac defects, anal atresia, renal defects, and limb anomalies.

Rectal and Large Intestinal Atresia/Stenosis

(Recommended Condition)

Description Complete or partial occlusion of the lumen of one or more segments of the

large intestine and/or rectum.

Inclusions Anal atresia or stenosis

Colonic atresia or stenosis

Imperforate anus

Large intestinal atresia or stenosis

Rectal atresia or stenosis

Exclusions Apple peel intestinal atresia

Duodenal atresia or stenosis Ileal atresia or stenosis Jejunal atresia or stenosis

Small intestinal atresia or stenosis

ICD-9-CM Codes 751.2

ICD-10-CM Codes Q42.0 – Q42.9

CDC/BPA Codes 751.20 – 751.24

Diagnostic Methods Anal atresia (imperforate anus) is usually easily recognized at birth by

physical examination. While large intestinal and rectal atresia or stenosis may be suspected by the clinical presentation of failure to pass meconium or stool, they may be conclusively diagnosed only through direct imaging

While these conditions may be identified by prenatal ultrasound, they

of the bowel by x-ray, barium enema, surgery, or autopsy.

Prenatal Diagnoses Not

Confirmed Postnatally should not be included in surveillance data without postnatal confirmation.

In addition, the absence of intestinal, rectal or anal atresia or stenosis on prenatal ultrasound does not necessarily mean that it will not be diagnosed

after delivery.

Additional Information:

These conditions may occur with or without a fistula.

Anal atresia is one of the defects reported as part of the VATER, or VACTERL, association, which may also include vertebral and cardiac defects, TE fistula, renal defects, and limb anomalies.

Small Intestinal Atresia/Stenosis

(Recommended Condition)

Description Complete or partial occlusion of the lumen of one or more segments of the

small intestine. Small intestinal atresias are often assigned a type descriptor in the surgical or autopsy report, depending upon the severity of the atresia

(types include I, II, IIIA, IIIB, and VI).

Inclusions Duodenal atresia or stenosis (also include duodenal web, membrane,

diaphragm, or windsock); include all types: I, II, IIIA, IIIB, VI, and not

stated

Jejunal atresia or stenosis (also include jejunal web or membrane); include all

types: I, II, IIIA, IIIB, VI, and not stated

Ileal atresia or stenosis also (include ileal web or membrane); include all

types: I, II, IIIA, IIIB, VI, and not stated

Small intestinal atresia or stenosis, not otherwise specified; include all types:

I, II, IIIA, IIIB, VI, and not stated

Exclusions Intestinal atresia/stenosis in an infant with cystic fibrosis

Sirenomelia

Anal atresia or stenosis

Anal stenosis, anteriorly displaced anus

Colonic atresia or stenosis

Imperforate anus

Large intestinal atresia or stenosis

Rectal atresia or stenosis

ICD-9-CM Codes 751.1

ICD-10-CM Codes Q41.0 – Q41.9

CDC/BPA Codes 751.10-751.19

Diagnostic Methods While the diagnosis may be suspected by clinical presentation of abdominal

distension, vomiting, lack of passage of meconium, "double bubble" sign on abdominal ultrasound, dilated loops of bowel on abdominal x-ray, or failure of contrast to advance on upper GI or barium enema studies, small intestinal atresia or stenosis requires conclusive diagnosis through surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

While these conditions may be suspected by prenatal ultrasound, they should not be included in surveillance data without postnatal confirmation; postnatal

diagnosis of the small intestinal atresia or stenosis requires a surgical or autopsy report (i.e., ultrasound or abdominal x-ray studies, such as an upper GI or barium enema, are not sufficient). In addition, the absence of small intestinal atresia or stenosis on prenatal ultrasound does not necessarily mean

that it will not be diagnosed after delivery.

Additional Information:

If contiguous regions of the small intestine are involved, a compound descriptor may be used, e.g., jejunoileal atresia; codes for both affected areas should be included, but the descriptor should indicate whether these are contiguous or non-contiguous regions. One-third of all infants with duodenal atresia or stenosis have Down syndrome.

Genitourinary

Bladder Exstrophy

(Recommended Condition)

Description A defect in the lower abdominal wall and anterior wall of the bladder

through which the lining of the bladder is exposed to the outside.

Inclusions Classic bladder exstrophy

Ectopia vesicae

Epispadias-exstrophy complex Extroversion of the bladder Variants of bladder exstrophy

Vesical exstrophy

Exclusions Ambiguous genitalia without mention of bladder exstrophy

Cloacal exstrophy Isolated epispadias

ICD-9-CM Codes 753.5

ICD-10-CM Codes Q64.10, Q64.19

CDC/BPA Codes 753.50

Diagnostic Methods Bladder exstrophy is easily recognized on physical examination at delivery.

However, the exact nature of the defect and associated anomalies may only be distinguished by abdominal ultrasound, contrast x-ray studies, CT or

MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally These conditions may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data, as it may be difficult to

include an individual case in the surveillance data, as it may be difficult to distinguish bladder exstrophy from cloacal exstrophy. Live-born children who survive should always have confirmation of the defect postnatally

before being included.

Additional Information:

In the classic form of bladder exstrophy, the entire urinary tract is open anteriorly from the urethral meatus to the umbilicus. The pubic bones are widely separated, as are the abdominal muscles and fascia. There is eversion/exposure of the posterior bladder wall. The genitalia of either gender may be involved and may be bifid or duplicated. The classic form of bladder exstrophy occurs more frequently in males.

Variants of bladder exstrophy occur more rarely and affect females more often then males. Included among these variants are superior vesical fistula, closed exstrophy, duplicate exstrophy, pseudoexstrophy, inferior vesicle. Epispadias is almost uniformly present, but should not be coded separately.

Ambiguous genitalia may be noted in patients with bladder exstrophy if an obvious scrotum and testes are not present. However, ambiguous genitalia should not be coded as a separate defect in these

instances.

Bladder exstrophy should be distinguished from cloacal exstrophy, in which the urinary, intestinal, and genital structures open into a common cavity (the cloaca). The distinction may only be possible with detailed diagnostic studies, surgery, or at autopsy. In cloacal exstrophy, bladder exstrophy and imperforate anus are also present. In bladder exstrophy without cloacal exstrophy, the anus is patent. When both bladder and cloacal exstrophy are present, only cloacal exstrophy should be coded.

Cloacal Exstrophy

(Recommended Condition)

Description Congenital persistence of a common cloacal cavity into which gut, urethra,

and reproductive tracts open with exstrophy of the cavity: usually

accompanied by a low omphalocele, imperforate anus, and a (closed) neural

tube defect.

Inclusions cloacal exstrophy

OEIS complex (Omphalocele, bladder Exstrophy, Imperforate anus, Spinal

defects)

Exclusions persistent cloaca (urorectal septum malformation sequence)

bladder exstrophy without omphalocele/imperforate anus

ICD-9-CM Codes 751.5

ICD-10-CM Codes Q64.12

CDC/BPA Codes 751.555

Diagnostic Methods Cloacal exstrophy is easily recognized on physical examination at delivery.

However, the exact nature of the defect and associated anomalies may only be distinguished by abdominal ultrasound, contrast x-ray studies, CT or

MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally This condition may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data, as it may be difficult to distinguish cloacal exstrophy from bladder exstrophy. Live-born children who survive should always have confirmation of the defect postnatally before being included. At a minimum, omphalocele with bladder exstrophy (which cannot be distinguished from cloacal exstrophy prenatally) must be evident.

Additional Information:

In the classic form of cloacal exstrophy, the entire bladder is open anteriorly from the urethral meatus to the low placed omphalocele. The pubic bones are widely separated, as are the abdominal muscles and fascia. The genitalia of either gender may be involved and may be bifid or duplicated.

Ambiguous genitalia may be noted in patients with cloacal exstrophy if an obvious scrotum and testes are not present. However, ambiguous genitalia should not be coded as a separate defect in these instances.

Cloacal exstrophy should be distinguished from bladder exstrophy. The distinction may only be possible with detailed diagnostic studies, surgery, or at autopsy. In cloacal exstrophy, bladder exstrophy and imperforate anus are also present. When both bladder and cloacal exstrophy are present, only cloacal exstrophy should be coded.

Congenital Posterior Urethral Valves

(Recommended Condition)

Description Posterior urethral valves (PUV) are tissue folds of the posterior urethra and

function as valves obstructing urine outflow. Congenital PUV is an abnormal congenital obstructing membrane that is located within the posterior male urethra; this valve is the most common cause of bladder outlet obstruction in male children. Congenital PUV can also be found in virilized females and rarely in normal females. Obstruction could vary from mild to severe.

Inclusions Posterior urethral valves

Exclusions Inhibition of urinary flow at any of the above sites resulting solely from

neurologic impairment.

ICD-9-CM Codes 753.6

ICD-10-CM Codes Q64.2

CDC/BPA Codes 753.60

Diagnostic Methods Congenital PUV may be suspected by the clinical presentation. Newborns

can present at birth with abdominal masses, distended bladder,

hydronephrosis, or with respiratory distress, oligohydramnions, and Potter facies. However, the exact nature of the defect and PUV may only be distinguished by direct visualization such as cystoscopy or urethral endoscopy, or with contrast studies such as voiding cystourethrogram (VCUG). With routine obstetric ultrasonography the prenatal diagnosis of PUV is becoming increasingly common. PUV also may be diagnosed at

surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While obstructive genitourinary defects including congenital PUV may be identified by prenatal ultrasound, many lesions diminish or resolve spontaneously prior to birth. For this reason, PUV should not be included in surveillance data without postnatal confirmation. In addition, the absence of genitourinary obstruction on prenatal ultrasound does not necessarily mean that an obstructive defect such as PUV will not be diagnosed after delivery.

Additional Information:

When urine flow is obstructed, the portion of the genitourinary tract proximal to the affected area may become enlarged and dilated with urine. Mild lesions may produce only partial or intermittent urinary obstruction without permanent damage. More severe lesions may substantially or completely obstruct urine flow, resulting in permanent damage to proximal structures, and sometimes impaired kidney function, if not relieved by surgery.

Hypospadias

(Recommended Condition)

Description

Hypospadias – Displacement of the opening of the urethra (urethral meatus) ventrally and proximally (underneath and closer to the body) in relation to the tip of the glans of the penis.

Types of Hypospadias







Inclusions

First-degree hypospadias – The urethral meatus is located on the glans of the penis. Also called primary, 1°, glandular, or coronal hypospadias.

Second-degree hypospadias – The urethral meatus is located on the shaft of the penis. Also called secondary, 2°, or penile hypospadias.

Third-degree hypospadias – The urethral meatus is located at the base of the penis on the scrotum or perineum. Also called tertiary, 3°, scrotal, penoscrotal, or perineal hypospadias.

Hypospadias, degree not specified Hypospadias of any type with chordee

Exclusions Chordee alone without associated hypospadias

Ambiguous genitalia

Epispadias

ICD-9-CM Codes Hypospadias 752.61

ICD-10-CM Codes Q54.0 – Q54.9 (excluding Q54.4)

CDC/BPA Codes Hypospadias 752.60 – 752.62 (excluding 752.61 and 752.621)

Diagnostic Methods Hypospadias is usually easily recognized on physical examination at

delivery. They may also be seen on contrast x-rays of the urinary tract, at

surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally While this condition may be diagnosed by prenatal ultrasound, it should not be included in surveillance data without postnatal confirmation. In addition, the absence of hypospadias on prenatal ultrasound does not necessarily mean that they will not be diagnosed after delivery.

Additional Information:

Chordee indicates a ventral (downward) curve of the penis, which may result from cutaneous or fibrous restriction. It is present in approximately 35% to 50% of cases of hypospadias.

In mild forms of first-degree hypospadias, the foreskin may appear hooded but there may be no overt clinical symptoms.

In contrast, third-degree hypospadias may be described as ambiguous genitalia. In this instance, it is important to search the medical record for detailed information (including chromosome, molecular, and hormone analyses; genetics and endocrinology consultations; surgery or autopsy reports) that may clarify the anatomy and/or indicate whether an underlying genetic condition or endocrinopathy associated with ambiguous genitalia is present. Ambiguous genitalia should not be coded if hypospadias is the only diagnosis. Hypospadias generally should not be coded if a normal female karyotype (46,XX) is reported.

Appendix 3.1 Case Definition

Renal Agenesis/Hypoplasia

(Recommended Condition)

Description Renal agenesis – Complete absence of the kidney

Renal hypoplasia – Incomplete development of the kidney

Inclusions Renal agenesis, dysgenesis, aplasia, or hypoplasia

Potter syndrome secondary to renal agenesis/hypoplasia

Exclusions Cystic renal dysplasia

Cystic kidney disease Multicystic kidney

Multicystic dysplastic kidney

Polycystic kidney Renal cysts Renal dysplasia Small kidney

ICD-9-CM Codes 753.0

ICD-10-CM Codes Q60.0 – Q60.6

CDC/BPA Codes 753.00 – 753.01

Diagnostic Methods Bilateral renal agenesis is often suspected on physical examination after

delivery because of the Potter phenotype: low-set cartilage-deficient ears, prominent epicanthal folds, flattened "parrot-beaked" nose, recessed chin, limb contractures, malformed hands, and clubbed feet. Bilateral renal hypoplasia may or may not be recognized after delivery, depending on the

severity and degree of residual kidney function.

Unilateral renal agenesis or hypoplasia may not be symptomatic at delivery

if the contralateral kidney is not impaired.

Each of these diagnoses may be conclusively diagnosed only through direct assessment by abdominal ultrasound, CT or MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally Bilateral renal agenesis may be included when only diagnosed prenatally. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally before being included.

While bilateral renal hypoplasia and unilateral renal agenesis/hypoplasia may be suspected by prenatal ultrasound, they should not be included in surveillance data without postnatal confirmation. Lack of visualization of a kidney on prenatal ultrasound does not always indicate that the kidney is truly absent.

Additional Information:

Renal agenesis and hypoplasia may be unilateral or bilateral. If the defect coding system includes unique codes for these different types, the location should be coded.

Bilateral renal agenesis, or any condition that significantly impairs the function of both kidneys *in utero*, may lead to the oligohydramnios sequence (Potter syndrome) due to lack of fetal urine production and the resulting decreased amniotic fluid volume. The sequence includes minor facial dysmorphism (flat face, small chin, large ears), pulmonary hypoplasia, and joint contractures.

Bilateral renal agenesis is incompatible with long-term survival unless a kidney transplant is performed. In contrast, unilateral renal agenesis/hypoplasia may not be diagnosed until weeks, months, or even years after birth if the contralateral kidney function is normal. Some unilateral cases may be diagnosed only as incidental findings during evaluation for other conditions, and some may never be recognized.

Musculoskeletal

Clubfoot

(Recommended Condition)

Description

An abnormality consisting of plantar flexion (downward pointing of the foot and toes), inversion (varus, or internal rotation), and metatarsus adductus (deviation of the forefoot toward the body) of the foot. An abnormally high arch (pes cavus) and midfoot flexion crease usually are also present.



Inclusions Talipes equinovarus (including congenital, idiopathic, and neurogenic),

talipes not otherwise specified, clubfoot not otherwise specified.

Exclusions Talipes equinovalgus, talipes calcaneovarus, talipes calcaneovalgus, talipes

varus, talipes valgus, vertical talus, metatarsus adductus alone, metatarsus

varus alone, pes varus, pes valgus, pes planus, rocker-bottom foot,

positional or postural clubfoot.

ICD-9-CM Codes 754.51, 754.70

ICD-10-CM Codes Q66.0, Q66.89

CDC/BPA Codes 754.50, 754.73 excluding 754.735

Diagnostic MethodsClubfoot is diagnosed by physical exam. X-rays and imaging studies may

provide supplemental information but are not necessary for diagnosis.

Prenatal Diagnoses Not Confirmed Postnatally Clubfoot can be identified or suspected on prenatal ultrasound; however, it should not be included in birth defects surveillance data without postnatal confirmation. The primary utility of prenatal diagnosis of clubfoot is in its

indication for additional genetic counseling and testing through

amniocentesis or other means.

Additional Information: Clubfoot can occur on either side alone or in both feet. The calf muscles on

the affected side are permanently small. While in some instances the affected foot can be moved passively to a normal or near-normal position (so-called positional clubfoot), more commonly there is a component of

rigidity which can be severe.

Clubfoot often occurs alone, but can be associated with other musculoskeletal abnormalities such as torticollis or developmental dysplasia of the hip, and with genetic syndromes such as triploidy, Larsen syndrome, or Moebius sequence. Neurogenic clubfoot results from impaired innervation of the foot during development. Examples of conditions that can result in such impairment include spina bifida, arthrogryposis, sacral agenesis, spinal muscular atrophy, and other paralytic

states.

Craniosynostosis

(Extended Condition)

Description

Premature closure (fusion) of one or several cranial sutures (connective tissue membranes that separate the bones of the developing skull)

Inclusions

Craniosynostosis subtypes are typically named by the cranial sutures involved: sagittal, coronal, lambdoidal, or metopic craniosynostoses are the most common conditions. Mixed or multiple sutures can be involved, and rarely basilar or squamosal sutures fuse prematurely.

Cranial shapes that may or may not result from craniosynostosis:

- DOLICHOCEPHALY/SCAPHOCEPHALY--long, wedge-shaped skull with a prominent forehead and occiputresulting from premature closure of sagittal suture
- BRACHYCEPHALY--high, wide, short skull resulting from premature fusion of coronal sutures
- OXYCEPHALY/TURRICEPHALY/ACROCEPHALY--tall, tower-like skull (sometimes pointed) resulting frompremature fusion of coronal and usually sagittal sutures
- PLAGIOCEPHALY--asymmetric skull shape which can result from unilateral closure of coronal and/or lambdoidalsuture
- TRIGONOCEPHALY--triangular-shaped skull resulting from premature closure of metopic suture

Exclusions

Deformational plagiocephaly without synostosis

Other abnormal head shapes described above without craniosynostosis

ICD-9-CM Codes

No specific code; 756.0 includes craniosynostosis and "other anomalies of

skull and face bones"

ICD-10-CM Codes Q75.0

CDC/BPA Codes 756.00-756.03

Diagnostic Methods

Confirmation of a diagnosis of craniosynostosis is by postnatal skull X-ray and/or tomography (CT or CAT scan, the "gold standard"), operative/pathology reports, or autopsy; plastic surgery or neurosurgery consultation reports are often useful

Prenatal Diagnoses Not Confirmed Postnatally Craniosynostosis can be identified or suspected on prenatal ultrasound; however, it should not be included in birth defects surveillance data without postnatal confirmation.

Additional Information:

Craniosynostosis is seen in many syndromes such as the acrocephalosyndactylies, in which there are limb abnormalities such as syndactyly. A particularly severe form of craniosynostosis of multiple sutures is called cloverleaf skull or Kleeblattschädel; this condition is usually associated with a syndrome diagnosis.

Diaphragmatic Hernia

(Recommended Condition)

Incomplete formation of the diaphragm through which a portion of the **Description**

abdominal contents herniate into the thoracic cavity.

Inclusions Absence of the diaphragm

Bochdalek hernia – Herniation through a defect in the posterolateral portion

of the diaphragm.

Diaphragmatic hernia, type not specified

Hemidiaphragm

Morgagni hernia – Herniation through a defect in the anterior portion of the

diaphragm.

Paraesophageal hernia – Herniation through a defect in the central portion of

the diaphragm surrounding the esophagus.

Exclusions Eventration of the diaphragm – Weakness in, or absence of, the muscles of

> the diaphragm which allows upward displacement of a portion of the abdominal contents. However, there is no true herniation of contents

through the diaphragm into the thoracic cavity.

ICD-9-CM Codes 756.6

Q79.0, Q79.1 **ICD-10-CM Codes**

CDC/BPA Codes 756.610 - 756.617

Diagnostic Methods While diaphragmatic hernia may be suspected by the clinical presentation of

> respiratory distress, feeding intolerance, and/or cardiac compromise, it may be conclusively diagnosed only through x-ray, contrast study of the bowel,

CT or MRI scan, surgery, or autopsy.

Prenatal Diagnoses Not

Diaphragmatic hernia may be included in surveillance data when only **Confirmed Postnatally** diagnosed prenatally. However, if it is possible to ascertain the degree of

certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Liveborn children who survive should always have confirmation of the defect

postnatally before being included.

Additional Information:

Children with diaphragmatic hernia often have accompanying abnormalities of the heart, intestine, and lungs, including hypoplastic lungs, which result from the abnormal location of abdominal organs within the thoracic cavity during development.

Gastroschisis

(Core Condition)

Description

A congenital opening or fissure in the anterior abdominal wall lateral to the umbilicus through which the small intestine, part of the large intestine, and occasionally the liver and spleen, may herniate. The opening is separated from the umbilicus by a small bridge of skin, and the herniating organs



are not covered by a protective membrane. Gastroschisis usually occurs on the right side of the umbilicus, although it may occur on the left.

Inclusions Gastroschisis

Exclusions Omphalocele

ICD-9-CM Codes Prior to October 1, 2009 - 756.79 (shared code with omphalocele)

October 1, 2009 and later – 756.73

ICD-10-CM Codes Q79.3

CDC/BPA Codes 756.71

Diagnostic Methods Gastroschisis is usually easily recognized on physical examination after

delivery. However, in some instances, it may be conclusively distinguished

from omphalocele only at surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally Gastroschisis may be included when only diagnosed prenatally. However, it may be difficult to distinguish gastroschisis from omphalocele on prenatal ultrasound, and the terms sometimes are used interchangeably. If it is possible to ascertain the degree of certainty of the prenatal diagnosis and the location of the umbilical cord insertion relative to the abdominal defect, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally before being included. In addition, the absence of gastroschisis on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

The distinction between gastroschisis and omphalocele is important because they have different etiologies and different implications for treatment and long-term survival.

In gastroschisis, the umbilicus and cord are normal and separated from the abdominal wall defect by a small bridge of skin. The herniating organs are not covered by a protective membrane. However, they may appear matted and covered by a thick fibrous material as a result of prolonged exposure to amniotic

fluid in utero.

In omphalocele, abdominal organs herniate through the umbilicus into the umbilical cord. There is no bridge of skin between the abdominal wall defect and the umbilicus and cord. While the herniating organs are covered by a protective membrane, this may rupture before, during, or after delivery.

Gastroschisis may be one of the defects reported as part of the Limb-Body Wall complex. This is a disruption complex of the lateral body wall, which may also include limb reductions, neural tube defects, heart defects, and other anomalies.

Maternal serum alphafetoprotein (MSAFP) and/or amniotic fluid alphafetoprotein (AFAFP) may be elevated with gastroschisis. However, these screening tests alone are not sufficient to diagnose the condition.

Limb Deficiencies (Reduction Defects)

(Core Condition)

Description

Complete or partial absence of the upper arm (humerus), lower arm (radius and/or ulna), wrist (carpals), hand (metacarpals), fingers (phalanges), thigh (femur), lower leg (tibia and/or fibula), ankle (tarsals), foot (metatarsals), or toes (phalanges).

Inclusions

Transverse limb deficiency (reduction) – Complete or partial absence of the distal (furthest from the body) structures of the arm or leg in a transverse (cross-wise) plane at the point where the deficiency begins. Structures proximal to the point where the deficiency begins remain essentially intact. Selected terms used for types of transverse limb deficiencies include:

- Acheiria Absence of a hand
- Adactyly Absence of digits (fingers or toes), excluding isolated missing thumb (see below)
- Aphalangia Absence of phalanges. Fingers contain 3 phalanges each. The thumb (pollex) and big toe (hallux) contain 2 phalanges. The other toes contain 3 phalanges each.
- Amelia Complete absence of the upper limb (humerus, radius, ulna, wrist, hand and fingers) or complete absence of the lower limb (femur, tibia, fibula, ankle, foot, and toes).
- Hemimelia, Meromelia Partial absence of a limb. This may refer to either transverse or longitudinal deficiency (reduction).
- Oligodactyly Deficiency of fewer than 5 digits.

Transverse terminal deficiency (reduction) – Complete absence of the distal structures of the arm with the proximal structures intact. This term usually refers to deficiency below the elbow, or complete absence of the distal structures of the leg with the proximal structures intact.

Congenital amputation, type not specified.

Longitudinal limb deficiency (reduction) — Partial absence of the upper limb in parallel with the long axis of the arm or partial absence of the lower limb in parallel with the long axis of the leg. These may involve preaxial (on the thumb side/ on the big toe side), postaxial (on the fifth finger side/ on the fifth toe side), or central parts of the arm or leg. Selected terms used for types of longitudinal limb reductions include:

- Ectrodactyly
- Ectromelia
- Isolated missing thumb
- Lobster claw hand
- Radial, ulnar, tibial, or fibular aplasia or hypoplasia
- Radial, ulnar, tibial, or fibular ray deficiency

Split-hand malformation (split hand/split foot malformation, SHSF) - A central longitudinal limb deficiency (reduction) in which there is complete or partial absence of one or more of the central rays (second through fourth fingers and their associated metacarpal bones) of the hand.

Split-foot malformation (split hand/split foot malformation, SHSF) – A central longitudinal limb deficiency (reduction) in which there is complete or partial absence of one or more of the central rays (second through fourth toes and their associated metatarsal bones) of the foot.

Intercalary limb reduction – Complete or partial absence of the proximal (closest to the body) or middle segments of the upper limb or lower limb with all or part of the distal segment present.

Phocomelia is a general term used for any type of intercalary limb reduction.

Deficiency (reduction defect) of the upper limb or lower limb not elsewhere coded or of unspecified type — Complete or partial absence of the upper limb or lower limb that does not fall within the above categories or for which there is no specific description.

Exclusions

Shortened arms, forearms, hands, upper and/or lower legs, feet, toes or fingers that have all of their component parts, including those that are part of a generalized chondodystrophy, osteodystrophy, or dwarfism.

Hypoplastic nails

ICD-9-CM Codes 755.2 – 755.4

ICD-10-CM Codes Q71.0 – Q71.9, Q72.0 – Q72.9, Q73.0 – Q73.8

CDC/BPA Codes 755.20 – 755.49

Diagnostic Methods Limb deficiencies (reductions) are usually easily recognized on physical

examination at delivery. However, the exact nature of the defect may only

be distinguished by x-ray, surgery, or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally

While these conditions may be identified by prenatal ultrasound, they generally should not be included in surveillance data without postnatal confirmation. However, if it is possible to ascertain the degree of certainty of the prenatal diagnosis, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Lack of visualization of a bone or limb on prenatal ultrasound does not necessarily mean that the bone or limb truly is not present. Live-born children who survive should always have confirmation of the defect postnatally before being included.

Additional Information:

The terminology for limb deficiency (reduction) is often confusing. Some terms (such as "phocomelia") have been misused and others (such as "ectrodactyly") have been used for both longitudinal and transverse defects. If medical record review is available, it is important to look for a complete description of all structures that are present and absent in order to verify the diagnosis.

Transverse limb deficiency (reduction) may be seen in association with amniotic bands. When both are present, both conditions should be coded.

Rudimentary or nubbin toes may be present at the distal end of a transverse limb deficiency (reduction). Their presence alone does not change the classification of the defect as transverse.

Joint contractures or clubfoot/clubhand are commonly seen in association with longitudinal limb deficiencies.

Intercalary deficiency (phocomelia) has been associated with the use of thalidomide during early pregnancy. However, thalidomide use may result in a number of other defects, including longitudinal deficiency. Intercalary defects also may occur without exposure to thalidomide.

Limb deficiency is one of the defects that may be reported as part of:

The VATER or VACTERL association, which also may include vertebral, cardiac and renal defects, TE fistula, and anal atresia.

Oromandibular-Limb Hypogenesis spectrum, which also may include a small mouth, small chin (micrognathia), small tongue (hypoglossia), and sixth and seventh cranial nerve palsies (Moebius sequence).

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Appendix 3.1
Case Definition

Omphalocele

(Recommended Condition)

Description

A defect in the anterior abdominal wall in which the umbilical ring is widened, allowing herniation of abdominal organs, including the small intestine, part of the large intestine, and occasionally the liver and spleen, into the umbilical cord. The herniating organs are covered by a

nearly transparent membranous sac.



Inclusions Omphalocele

Exclusions Gastroschisis

Umbilical hernia

ICD-9-CM Codes Prior to October 1, 2009 - 756.79 (shared code with gastroschisis)

After October 1, 2009 – 756.72

ICD-10-CM Codes Q79.2

CDC/BPA Codes 756.70

Diagnostic Methods Omphalocele is usually easily recognized on physical examination after

delivery. However, in some instances, it may be conclusively distinguished

from gastroschisis only at surgery or autopsy.

Prenatal Diagnoses Not Confirmed Postnatally Omphalocele may be included when only diagnosed prenatally. However, it may be difficult to distinguish omphalocele from gastroschisis on prenatal ultrasound, and the terms sometimes are used interchangeably. If it is possible to ascertain the degree of certainty of the prenatal diagnosis and the location of the umbilical cord insertion relative to the abdominal defect, this should factor into the decision as to whether or not to include an individual case in the surveillance data. Live-born children who survive should always have confirmation of the defect postnatally before being included. In addition, the absence of omphalocele on prenatal ultrasound does not necessarily mean that it will not be diagnosed after delivery.

Additional Information:

The distinction between omphalocele and gastroschisis is important because they have different etiologies and different implications for treatment and long-term survival.

In omphalocele, abdominal organs herniate through the umbilicus into the umbilical cord. There is no bridge of skin between the abdominal wall defect and the umbilicus and cord. While the herniating organs are covered by a protective membrane, this may rupture before, during, or after delivery.

In gastroschisis, the umbilicus and cord are normal and separated from the abdominal wall defect by a small bridge of skin. The herniating organs are not covered by a protective membrane. However, they may appear matted and covered by a thick fibrous material as a result of prolonged exposure to amniotic

fluid in utero.

Omphalocele is one of the defects reported as part of the Omphalocele-Exstrophy-Imperforate Anus-Spina Bifida (OEIS) complex.

Maternal serum alphafetoprotein (MSAFP) and/or amniotic fluid alphafetoprotein (AFAFP) may be elevated with omphalocele. However, these screening tests alone are not sufficient to diagnose the condition.

In contrast to omphalocele, umbilical hernias are completely covered by normal skin.

Chromosomal

Deletion 22q11.2

(Extended Condition)

Description Chromosome abnormality resulting from genomic microdeletions within a

critical region on the long arm of chromosome 22 (22q11.2)

Inclusions Deletion 22q11.2 syndrome

Chromosome deletion 22q11.2

DiGeorge syndrome with chromosome 22q11.2 deletion Thymic aplasia syndrome with chromosome 22q11.2 deletion

Velo-cardio-facial (VCF) syndrome with chromosome 22q11.2 deletion Conotruncal anomaly face (CTAF) syndrome with chromosome 22q11.2

deletion

Cayler cardiofacial (asymmetric crying facies) syndrome with chromosome

22q11.2 deletion

Shprintzen syndrome with chromosome 22q11.2 deletion

Sedlackova (velofacial hypoplasia) syndrome with chromosome 22q11.2

deletion

Takao syndrome with chromosome 22q11.2 deletion

Exclusions Named phenotypes without cytogenetic abnormalities

TBX1 mutations without cytogenetic abnormalities

Deletion 22q13.3 Duplication 22q11.2

Shprintzen-Goldberg syndrome

ICD-9-CM Codes 758.32

ICD-10-CM Codes Q93.81

CDC/BPA Codes 758.37

Diagnostic Methods Deletion 22q11.2 syndrome might be suspected on physical examination.

However, it is diagnosed conclusively only through molecular cytogenetic

analysis (typically chromosomal microarray or fluorescence in situ hybridization). Rarely, direct analysis of the child's chromosomes

(karyotype) can suggest a 22q11.2 deletion associated with an unbalanced translocation involving another chromosome, but molecular cytogenetic analysis would be used to confirm the 22q11.2 deletion. All of these

laboratory techniques may be done with blood or tissue cells.

Prenatal Diagnoses Not Confirmed Postnatally Deletion 22q11.2 can be included only when diagnosed through molecular

cytogenetic analysis obtained from amniocentesis, chorionic villus sampling (CVS), or percutaneous umbilical blood sampling (PUBS).

Additional Information:

The deletion 22q11.2 syndrome phenotype can include cardiac abnormalities, abnormal or dysmorphic facial features, thymic aplasia, cleft palate or velopharyngeal insufficiency, or hypocalcemia due to hypoparathyroidism; the "CATCH" acronym appeared in the literature previously to describe these

cardinal features, but this term is no longer used. Chromosome 22q11.2 deletions can be found with any of these features in isolation, and is sometimes not diagnosed until adulthood, e.g., in subtly affected parents of children with deletion 22q11.2 syndrome phenotypes or defects.

The term "DiGeorge syndrome" was used originally (before 22q11.2 deletions were described) for children with the combination of thymic and parathyroid defects; the ICD-9-CM code 279.11 or ICD-10-CM code D82.1 is sometimes still found in medical records with this diagnosis, but should be used in combination with the chromosomal codes listed above for individuals with documented 22q11.2 deletions.

The most common 22q11.2 deletions can be detected by commercially-available fluorescence in situ hybridization (FISH) probes, but normal FISH results with smaller 22q11.2 deletions seen on chromosomal microarrays are occasionally reported. These findings are sometimes called "atypical" deletions and labelled with specific letters (e.g., "C-D" deletion) or numbers describing the chromosomal loci; such cases should be included for surveillance purposes if the microarray interpretation is consistent with a pathogenic or clinically-significant 22q11.2 deletion.

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Appendix 3.1
Case Definition

Trisomy 13

(Recommended Condition)

Description The presence of three copies of all or a large part of chromosome 13.

Inclusions Patau syndrome

Mosaic Patau syndrome Mosaic trisomy 13

Translocation Patau syndrome Translocation trisomy 13

Trisomy 13, not otherwise specified Trisomy D_1 , not otherwise specified

Exclusions Balanced translocations involving chromosome 13

ICD-9-CM Codes 758.1

ICD-10-CM Codes Q91.4 – Q91.7

CDC/BPA Codes 758.10 – 758.19

Diagnostic Methods Trisomy 13 may be suspected on physical examination. However, it may be

diagnosed conclusively only through direct analysis of the infant's

chromosomes (karyotype). The chromosomes may be obtained from blood

or tissue cells.

Prenatal Diagnoses Not Confirmed Postnatally Trisomy 13 may be included when only diagnosed through direct analysis of fetal chromosomes or molecular cytogenetic analysis (typically chromosomal microarray or fluorescence in situ hybridization) of cells obtained from amniocentesis, chorionic villus sampling (CVS), or percutaneous umbilical blood sampling (PUBS). However, when mosaic trisomy 13 is noted, the defect should be confirmed postnatally on a specimen obtained directly from the infant or fetus after birth (see below).

Additional Information:

When the two copies of chromosome 13 from one parent do not separate during egg or sperm formation, three copies of the entire chromosome 13 will be present in the fetus. In this instance, the karyotype is written as 47,XX,+13 or 47,XY,+13. This is the most common type of trisomy 13 and is associated with advanced maternal age, particularly of 35 years or greater.

Translocation trisomy 13 occurs when two separate copies of chromosome 13 are present, but a third copy of part of chromosome 13 is attached to another chromosome. In this instance, there are 46 total chromosomes present, but 3 copies of part of chromosome 13.

Mosaic trisomy 13 occurs when some, but not all, of the cells in the body contain three copies of all or a large part of chromosome 13. In this instance, the karyotype is written as 46,XY/47,XY,+13, for example. Because the placenta may contain mosaic cell lines not present in the fetus, mosaic trisomy 13 diagnosed through chorionic villus sampling should always be confirmed by direct examination of fetal chromosomes from amniocentesis, PUBS, or preferably postnatal blood or tissue samples.

Approximately 80% of infants with trisomy 13 do not survive beyond the first month of life. Major

malformations associated with trisomy 13 may include holoprosencephaly, microcephaly, meningomyelocele, cleft lip and/or palate, microphthalmia, retinal dysplasia, polydactyly, heart defects (most commonly a VSD), omphalocele, and genitourinary defects, among others. Among children who survive the newborn period, severe developmental delay is virtually always present as may be deafness, visual impairment, minor motor seizures, and apneic spells.

Infants with mosaic trisomy 13 may be less severely affected with variable degrees of developmental delay and longer survival. Infants with partial trisomy for the proximal segment of chromosome 13 (13pter \rightarrow q14) exhibit a nonspecific pattern of abnormalities with near-normal survival. Approximately 25% of infants with partial trisomy for the distal segment of chromosome 13 (13q14 \rightarrow qter) die during early postnatal life.

Children who survive exhibit severe developmental delay and specific abnormalities.

Major malformations that occur with trisomy 13 in the same infant should be coded separately, as their presence may varies among affected individuals.

Appendix 3.1 Case Definition

Trisomy 18

(Recommended Condition)

Description The presence of three copies of all or a large part of chromosome 18.

Inclusions Edwards syndrome

Mosaic Edwards syndrome

Mosaic trisomy 18

Translocation Edwards syndrome

Translocation trisomy 18

Trisomy 18, not otherwise specified

Exclusions Balanced translocations involving chromosome 18

ICD-9-CM Codes 758.2

ICD-10-CM Codes Q91.0 – Q91.3

CDC/BPA Codes 758.20 – 758.29

Diagnostic Methods Trisomy 18 may be suspected on physical examination. However, it may be

diagnosed conclusively only through direct analysis of the infant's

chromosomes (karyotype). The chromosomes may be obtained from blood

or tissue cells.

Prenatal Diagnoses Not Confirmed Postnatally Trisomy 18 may be included when only diagnosed through direct analysis of fetal chromosomes or molecular cytogenetic analysis (typically chromosomal microarray or fluorescence in situ hybridization) of cells obtained from amniocentesis, chorionic villus sampling (CVS), or percutaneous umbilical blood sampling (PUBS). However, when mosaic trisomy 13 is noted, the defect should be confirmed postnatally on a specimen obtained directly from the infant or fetus after birth (see below).

Additional Information:

When the two copies of chromosome 18 from one parent do not separate during egg or sperm formation, three copies of the entire chromosome 18 will be present in the fetus. In this instance, the karyotype is written as 47,XX,+18 or 47,XY,+18. This is the most common type of trisomy 18 and is associated with advanced maternal age, particularly of 35 years or greater.

Translocation trisomy 18 occurs when two separate copies of chromosome 18 are present, but a third copy of part of chromosome 18 is attached to another chromosome. In this instance, there are 46 total chromosomes present, but 3 copies of part of chromosome 18.

Mosaic trisomy 18 occurs when some, but not all, of the cells in the body contain three copies of all or a large part of chromosome 18. In this instance, the karyotype is written as 46,XY/47,XY,+18, for example. Because the placenta may contain mosaic cell lines not present in the fetus, mosaic trisomy 18 diagnosed through chorionic villus sampling should always be confirmed by direct examination of fetal chromosomes from amniocentesis, PUBS, or preferably postnatal blood or tissue samples.

Most pregnancies affected with trisomy 18 result in spontaneous abortion. Approximately 50% of liveborn infants with trisomy 18 do not survive beyond the first week of life. Only 5% to 10% survive

beyond the first year of life. Major malformations associated with trisomy 18 may include microcephaly, micrognathia, cleft lip and/or palate, heart defects, omphalocele, and renal defects, among others. Minor anomalies associated with trisomy 18 may include low-set malformed auricles (external ears), overlapping of the index and fifth fingers over the third and fourth fingers, absent distal crease on the fifth finger, hirsutism (excess hair) of the forehead and back, lateral deviation of the hands, a hypoplastic thumb, a single transverse palmar crease, and rocker-bottom feet, among others. Developmental delay is virtually always present, as may be hypertonicity, a weak cry, growth retardation, hypoplasia of skeletal muscle and subcutaneous fat, and clenched hands.

Infants with mosaic trisomy 18 may be less severely affected, with variable degrees of developmental delay and longer survival. Infants with trisomy of only the short arm of chromosome 18 (partial trisomy 18) exhibit a nonspecific pattern of abnormalities with mild to no developmental delay. Infants with trisomy of the short arm, centromere, and proximal third of the long arm of chromosome 18 exhibit features of trisomy 18 but not the entire spectrum of abnormalities. Infants with trisomy of only one-third to one-half of the long arm of chromosome 18 exhibit features of trisomy 18 but have longer survival and less severe developmental delays.

Major malformations that occur with trisomy 18 in the same infant should be coded separately, as their presence varies among affected individuals.

Appendix 3.1 Case Definition

Trisomy 21 (Down Syndrome)

(Core Condition)

Description The presence of three copies of all or a

large part of chromosome 21.



Inclusions Down syndrome

Mosaic Down syndrome

Mosaic trisomy 21

Translocation Down syndrome Translocation trisomy 21

Trisomy 21, not otherwise specified

Exclusions Balanced translocations involving chromosome 21

"Downs facies" without associated trisomy 21.

ICD-9-CM Codes 758.0

ICD-10-CM Codes O90.0 – O90.9

CDC/BPA Codes 758.00 – 758.09

Diagnostic Methods Down syndrome may be suspected on physical examination. However, it

may be diagnosed conclusively only through direct analysis of the infant's chromosomes (karyotype). The chromosomes may be obtained from blood

or tissue cells.

Prenatal Diagnoses Not Confirmed Postnatally Down syndrome may be included when only diagnosed through direct analysis of fetal chromosomes or molecular cytogenetic analysis (typically chromosomal microarray or fluorescence in situ hybridization) of cells obtained from amniocentesis, chorionic villus sampling (CVS), or percutaneous umbilical blood sampling (PUBS). However, when mosaic trisomy 21 is noted, the defect should be confirmed postnatally on a specimen obtained directly from the infant or fetus after birth (see below).

Additional Information:

When the two copies of chromosome 21 from one parent do not separate during egg or sperm formation, three copies of the entire chromosome 21 will be present in the fetus. In this instance, the karyotype is written as 47,XX,+21 or 47,XY,+21. This is the most common type of trisomy 21 and is associated with advanced maternal age, particularly of 35 years or greater.

Translocation trisomy 21 occurs when two separate copies of chromosome 21 are present, but a third

copy of part of chromosome 21 is attached to another chromosome. In this instance, there are 46 total chromosomes present, but 3 copies of part of chromosome 21.

Mosaic trisomy 21 occurs when some, but not all, of the cells in the body contain three copies of all or a large part of chromosome 21. In this instance, the karyotype is written as 46,XY/47,XY,+21, for example. Because the placenta may contain mosaic cell lines not present in the fetus, mosaic trisomy 21 diagnosed through chorionic villus sampling should always be confirmed by direct examination of fetal chromosomes from amniocentesis, PUBS, or preferably postnatal blood or tissue samples.

Infants with Down syndrome have a typical appearance and other characteristics, including decreased muscle tone (hypotonia), a weak startle (Moro) reflex, hyperflexible joints, a flattened facial profile, upslanting eyes, abnormally shaped external ears (auricles), loose skin on the back of the neck, dysplasia of the pelvic bones, incurving of the fifth finger (clinodactyly), and a single transverse crease in the palm of the hand (Simian crease). Developmental delay is virtually always present. Major malformations associated with Down syndrome include heart defects (most notably atrioventricular septal defects), gastrointestinal defects, and vertebral abnormalities, among others.

Major malformations that occur with Down syndrome in the same infant should be coded separately, as their presence may varies among affected individuals.

Mongolism is an outdated term for Down syndrome.

Appendix 3.1 Case Definition

Turner Syndrome

(Extended Condition)

Description Presence of an absent or structurally abnormal second X chromosome in a

phenotypic female.

Inclusions Turner syndrome

Turner syndrome mosaicism (45,X with 46,XX, 46,XY, 47,XXX, autosomal

translocation, or combinations of the above)
Turner syndrome with a ring X chromosome
Turner syndrome with an isochromosome X

Turner syndrome with Xp deletion Gonadal dysgenesis, many forms

Exclusions Chromosome Xq24 deletions without Turner syndrome phenotype

Chromosomal deletions distal to Xp22.3 without Turner syndrome phenotype

Males, e.g., with 46,XY/45,X mosaicism

ICD-9-CM Codes 758.6

ICD-10-CM Codes Q96.0- Q96.9

CDC/BPA Codes 758.60-758.69

Diagnostic Methods Physical examination often provides a strong clinical suspicion of Turner

syndrome. However, it is diagnosed conclusively only through direct analysis of the infant's chromosomes (karyotype). The chromosomes may be obtained from blood (lymphocytes), or tissue cells (skin fibroblasts, chorionic villi). Cheek (buccal) swab analysis is inadequate for diagnosis, although it is useful

to evaluate mosaicism. Molecular cytogenetic analysis (typically

chromosomal microarray or fluorescence in situ hybridization) is not the standard type of laboratory investigation for Turner syndrome, but recent studies show that chromosomal microarray can detect the missing X chromosome for both complete and mosaic forms. Fluorescence in situ hybridization (FISH) performed prenatally can detect 45,X but not other forms; if Turner syndrome is strongly suspected in the fetus and FISH is

negative, postnatal blood karyotyping must be performed.

Prenatal Diagnoses Not Confirmed Postnatally Turner syndrome can be included only when diagnosed through direct analysis of fetal chromosomes (karyotype) or molecular cytogenetic analysis of cells obtained from amniocentesis, chorionic villus sampling (CVS), or percutaneous umbilical blood sampling (PUBS). However, when mosaic Turner syndrome is noted, the abnormality should be confirmed postnatally on a specimen obtained directly from the infant or fetus after birth (see below).

Additional Information:

The appearance of a fetus or infant with Turner syndrome varies greatly from a severely hydropic nonviable fetus to a normal appearing infant. The classic phenotype includes physical features that represent the residua of fetal lymphatic distention (body edema, neck edema, low hairline, low-set ears, downslanted eyes, loose neck skin, puffy hands and feet), and congenital heart defects (coarctation, other forms of left-

heart obstruction). The facial appearance might include wide-spaced eyes and small chin. Renal anomalies are seen in 30% (horseshoe kidney, absent kidney). Although short stature occurs in most children with Turner syndrome, infants usually have normal size.

There are different causes of the different chromosome types of Turner syndrome. When 45,X is present, the chromosomal abnormality occurred as a random event during the formation of reproductive cells (eggs and sperm) in the affected person's parent. An error in cell division called nondisjunction resulted in reproductive cells with an abnormal number of chromosomes.

Mosaic Turner syndrome occurs as a random event during cell division in early fetal development. As a result, some of an affected person's cells have the usual two sex chromosomes, and other cells have only one copy of the X chromosome. Other sex chromosome abnormalities are also possible in females with X chromosome mosaicism. Rarely, Turner syndrome caused by a partial deletion of the X chromosome can be passed from one generation to the next.

Birth defects, especially heart and kidney defects, that occur with Turner syndrome should be coded separately, as their presence may varies among affected individuals.

Bonnevie-Ullrich and Ullrich-Turner are outdated terms for Turner syndrome.

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Appendix 3.1
Case Definition